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**Call**

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[54] **METHODS AND APPARATUS FOR  
DISSEMINATING PRODUCT INFORMATION  
VIA THE INTERNET USING UNIVERSAL  
PRODUCT CODES**

5,918,214 6/1999 Perkowski ..... 705/27  
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6,045,048 4/2000 Wilz, Sr. et al. .... 235/472.01

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[57] **ABSTRACT**

[\*] **Notice:** This patent is subject to a terminal disclaimer.

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[22] **Filed:** **May 21, 1999**

**Related U.S. Application Data**

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27, 1998, Pat. No. 5,913,210.

[51] **Int. Cl.<sup>7</sup>** ..... **G06F 15/173**

[52] **U.S. Cl.** ..... **707/4; 707/513; 707/3;  
707/10; 705/20; 705/23; 705/26; 709/201;  
709/213; 709/217; 709/249**

[58] **Field of Search** ..... **707/10, 4, 3, 513,  
707/524; 705/20, 23, 26; 395/200.31; 709/201,  
213, 217, 249**

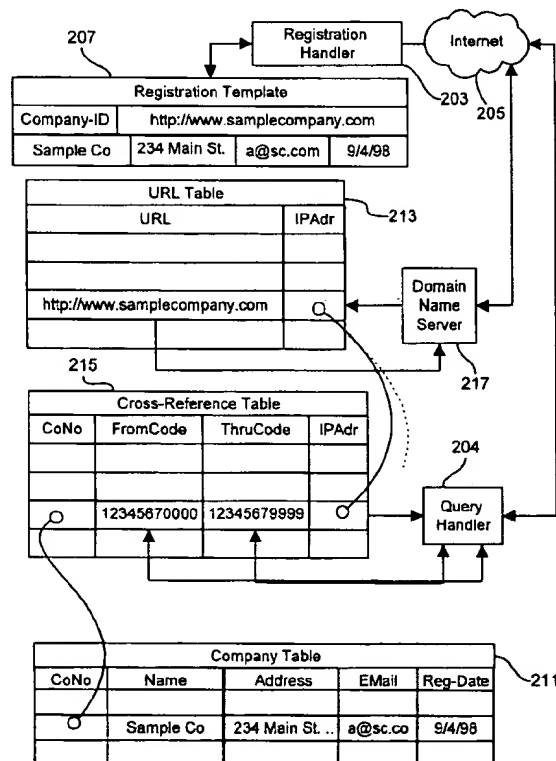
[56] **References Cited**

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**14 Claims, 8 Drawing Sheets**

**Microfiche Appendix Included**  
(1 Microfiche, 28 Pages)



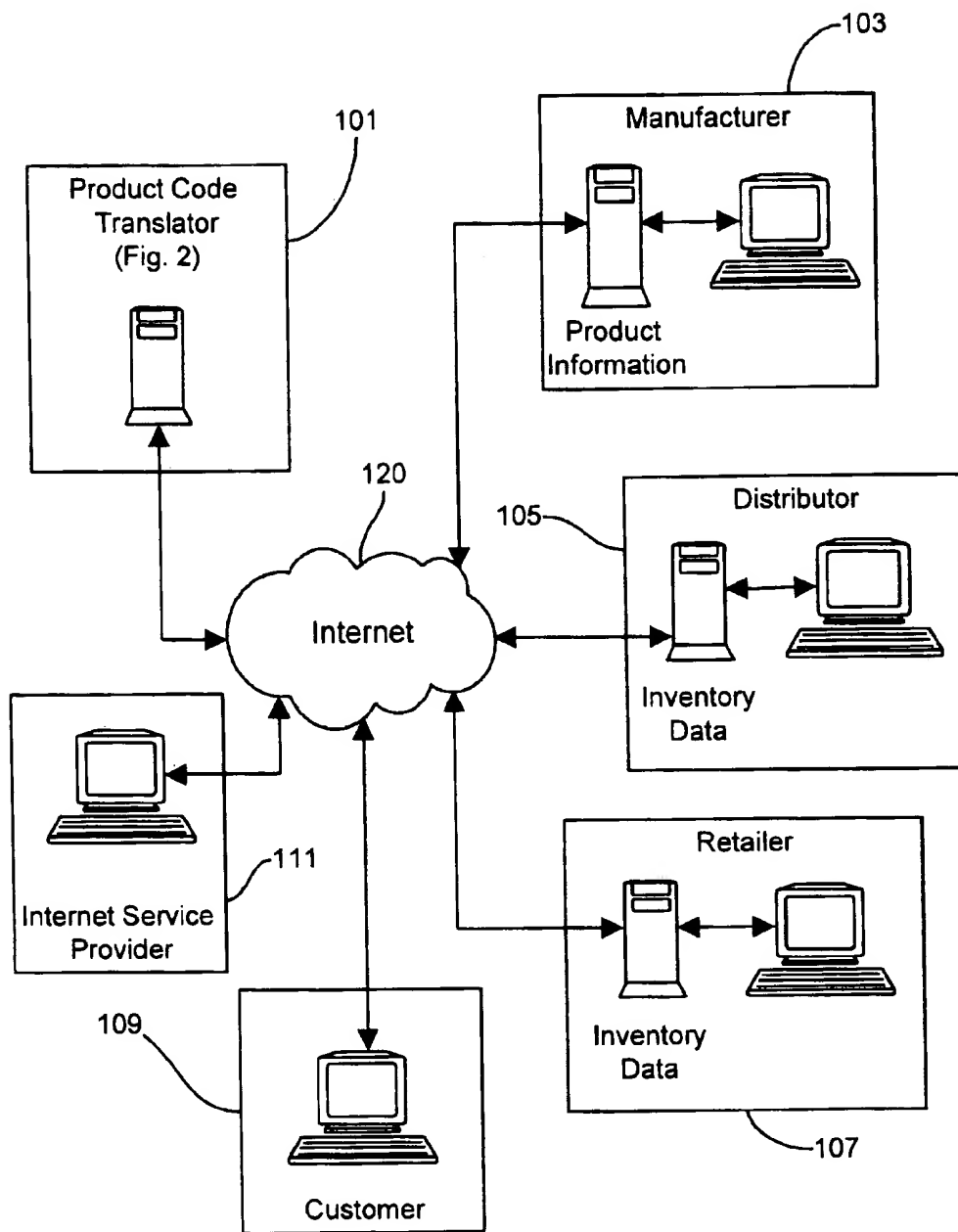


Fig. 1

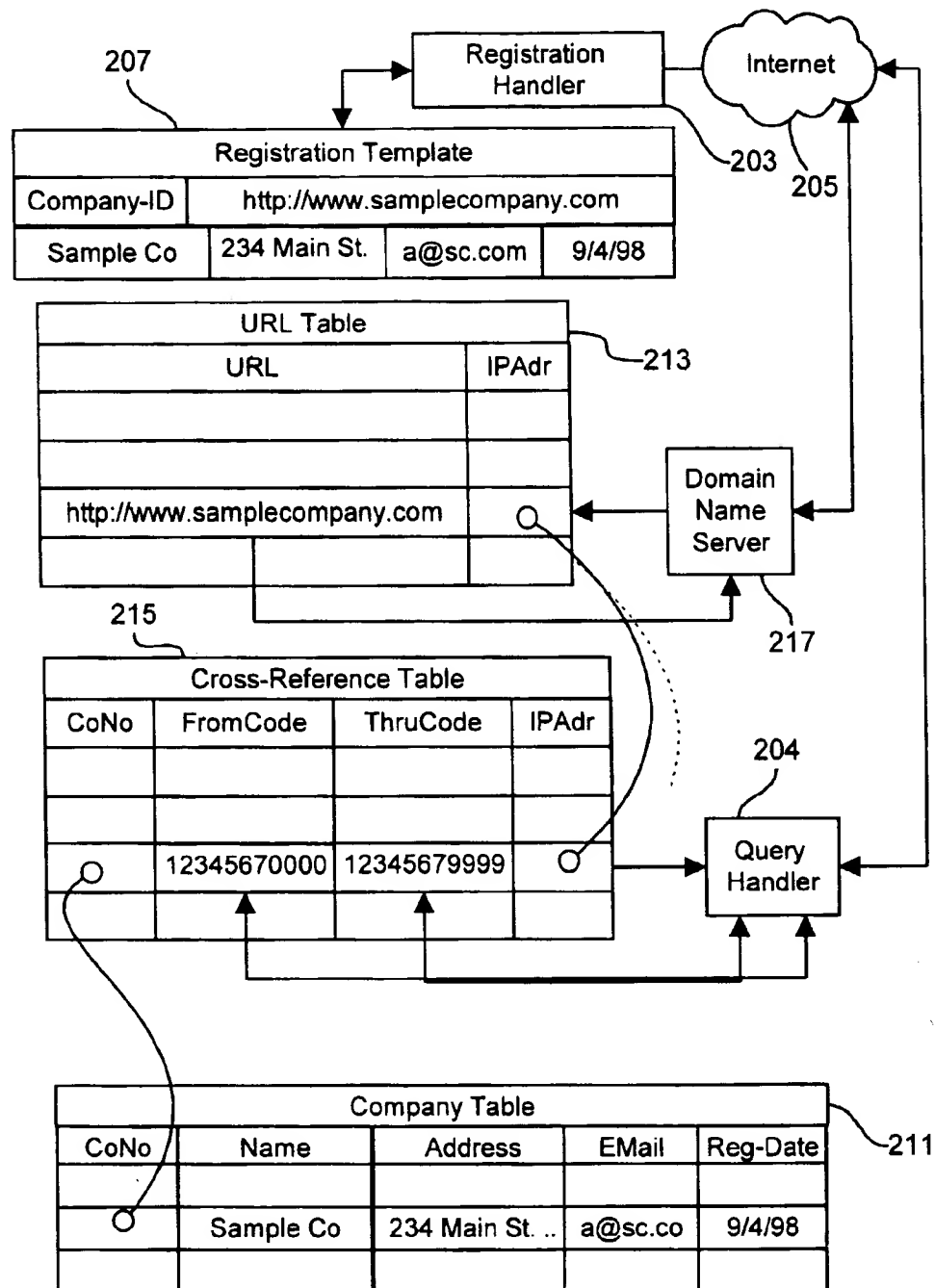


Fig. 2

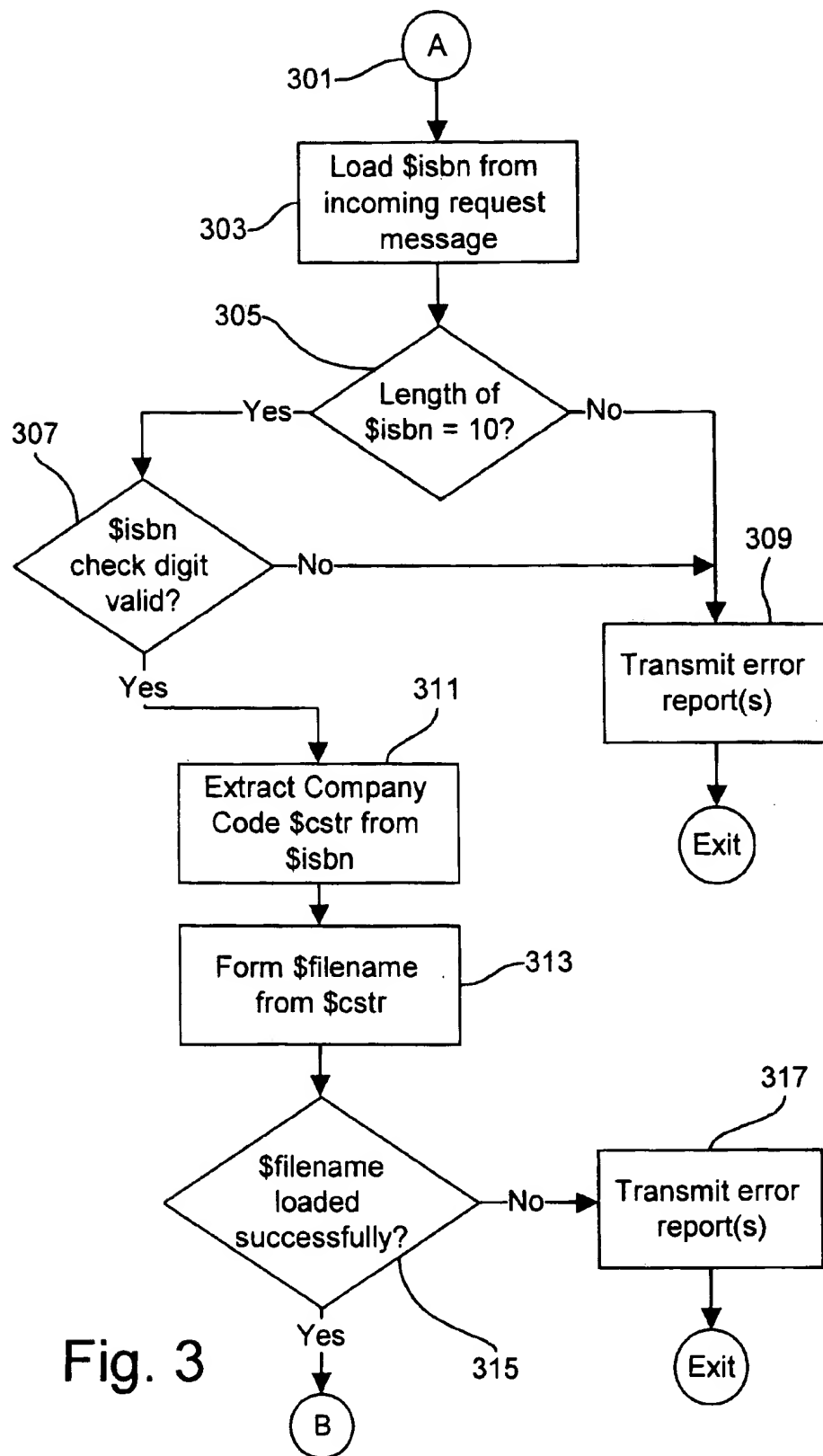


Fig. 3

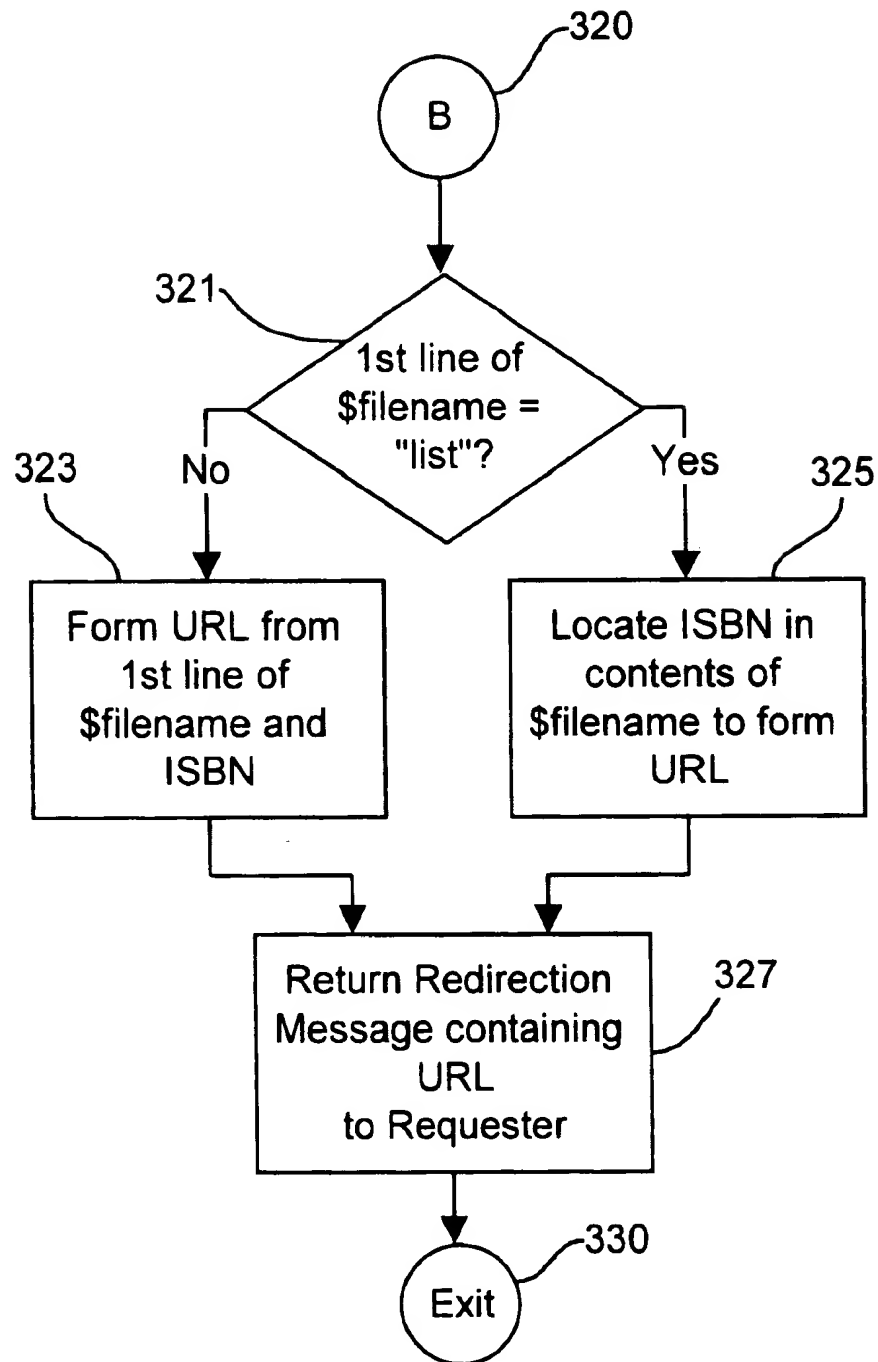


Fig. 4

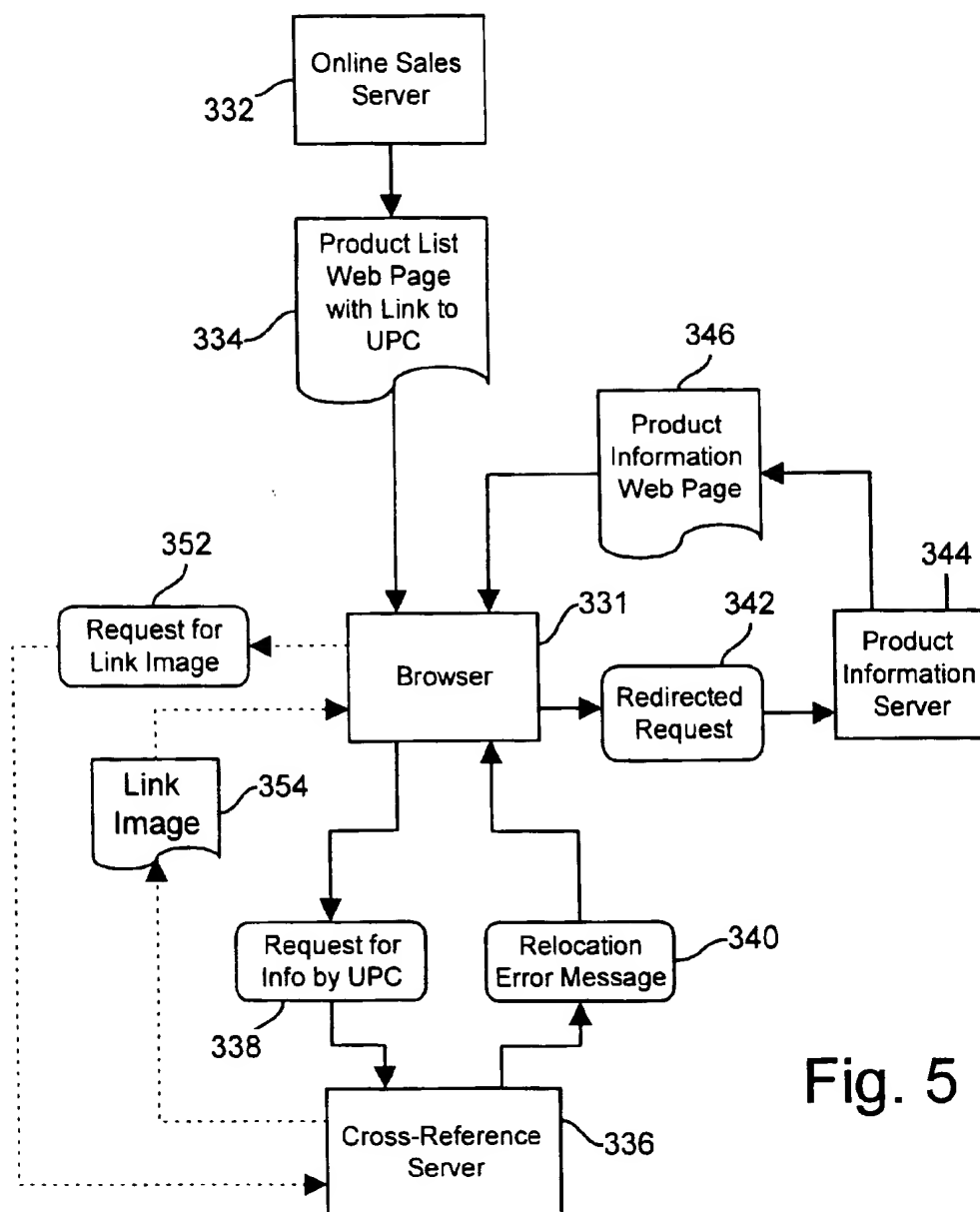


Fig. 5

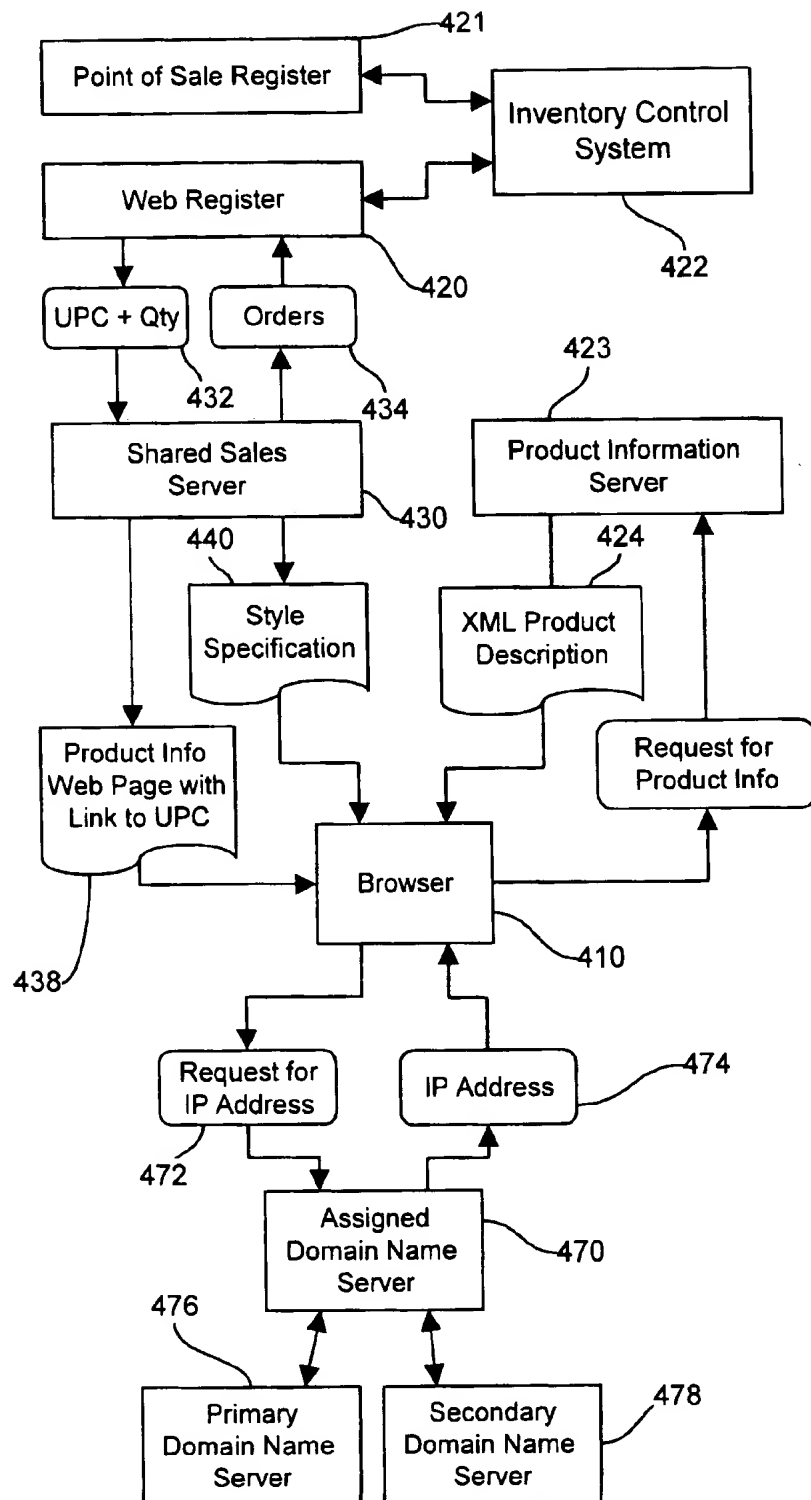


Fig. 6

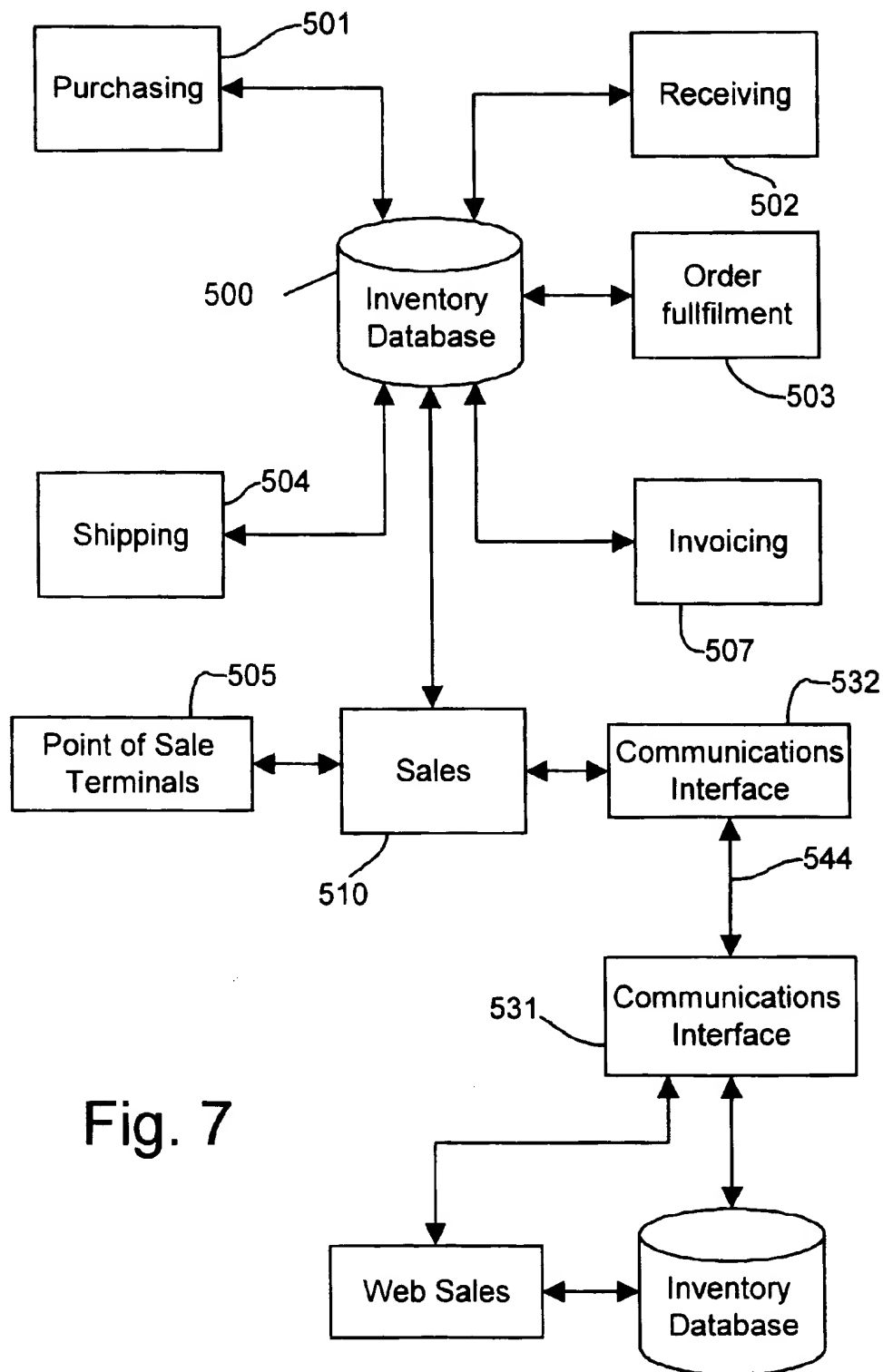


Fig. 7



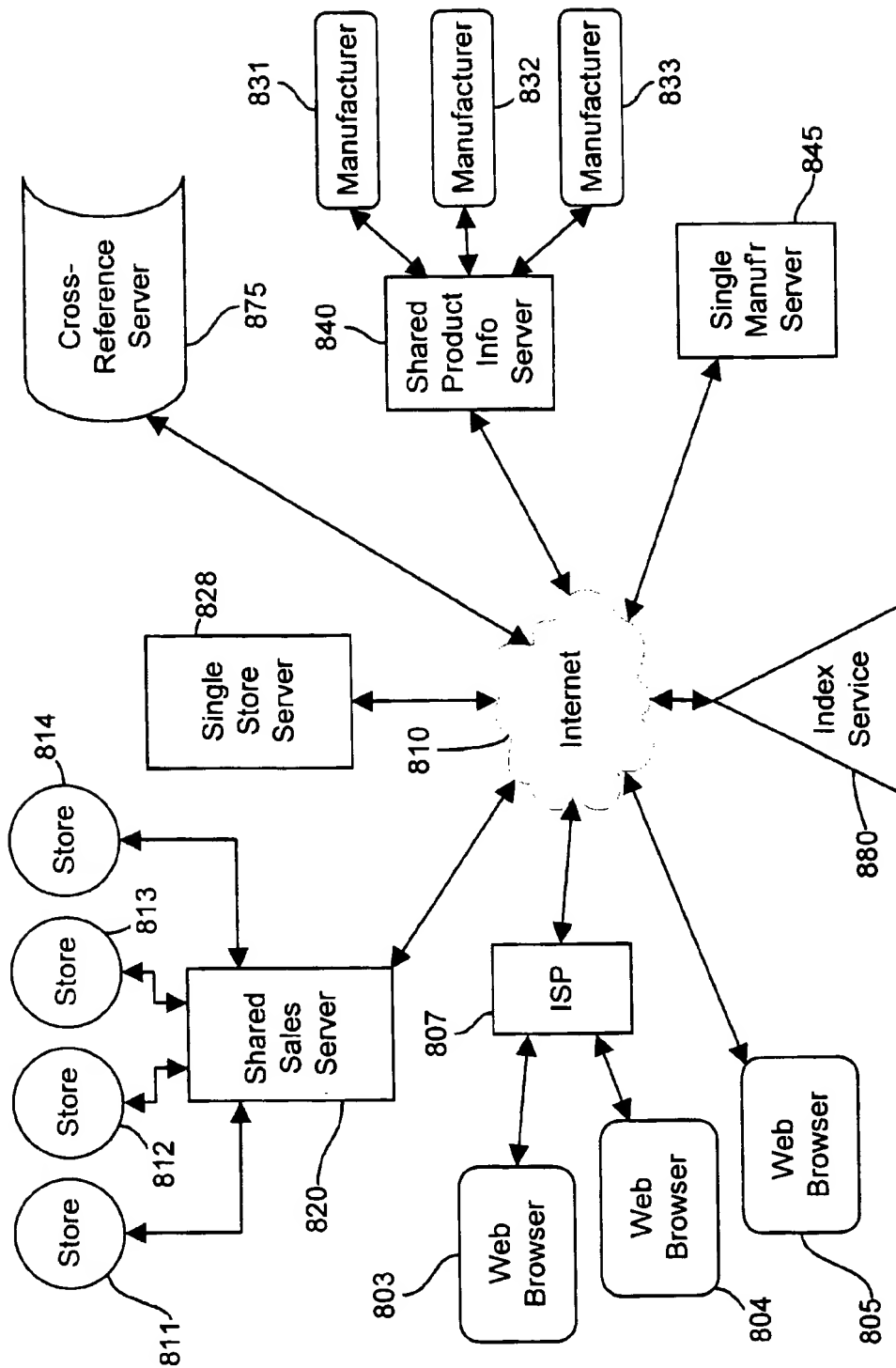


Fig. 8

# METHODS AND APPARATUS FOR DISSEMINATING PRODUCT INFORMATION VIA THE INTERNET USING UNIVERSAL PRODUCT CODES

## CROSS-REFERENCE TO RELATED APPLICATIONS

This is a continuation in part of U.S. application Ser. No. 09/049,426 filed on Mar. 27, 1998 and entitled "Methods and Apparatus for Disseminating Product Information via the Internet", now U.S. Pat. No. 5,913,210.

## REFERENCE TO MICROFICHE APPENDIX

A microfiche appendix consisting of 28 frames on one microfiche accompanies this specification and contains Perl language CGI scripts (computer source language listings) which illustrate working illustrative embodiments of selected components of the invention. A portion of the disclosure of this patent document contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure, as it appears in the Patent and Trademark Office patent file or records, but otherwise reserves all copyright rights whatsoever.

## FIELD OF THE INVENTION

This invention relates to methods and apparatus for transferring requests for specific information to preferred sources of that information on the Internet.

## BACKGROUND AND SUMMARY OF THE INVENTION

Manufacturers must provide information about their products to resellers, consumers, and others. Resellers need product information to select, promote and support the products they distribute. Consumers need information about available products to make informed buying choices. Advertisers, product analysts, manufacturer's representatives, shippers, and others also need information about the goods with which they deal.

Under current practices, product information typically originates with manufacturers and is primarily distributed in conventional print media advertising and product packaging. This information is often incomplete, difficult to update, and available only to a limited distribution. While the advent of the World Wide Web has permitted manufacturers to make detailed, up-to-date product information available via the Internet, the information describing a specific product is often difficult to locate, particularly when the URL (uniform resource locator) of the manufacturer's website is not known.

It is a general object of the present invention to transfer a request for information specified by an identifier, such as a product code, to a preferred source of that information, such as an Internet information resource devoted to the product specified by the product code which is created and maintained by the product's manufacturer.

The preferred embodiment of the present invention employs an Internet resource, called a "product code translator," for storing cross-references between universal product codes identifying specific products and Internet addresses specifying the locations at which information about these products may be obtained. The cross-references specify the universal product codes assigned to the participating manufacturers, such as the U.P.C. and EAN codes

widely used in retail stores for barcode scanning at checkout counters, and the Internet addresses where information can be obtained about the products designated by those codes.

In a principle aspect, the present invention takes the form of methods and apparatus for delivering information about products and manufacturers via the Internet using all or part of the universal product codes which designate these products and manufacturers as Internet access keys. The product information is stored in Internet servers, preferably in XML format, by the manufacturers who both produce the products and control the content of the stored product information.

Internet shoppers and others who desire product information fetch web pages via the Internet from on-line merchants and other sources. These web pages may contain one or more links to product information, and each such link contains a reference which designates a particular product by its corresponding universal product code. When the web browser operated by the shopper activates such a link, a request message containing at least a portion of the universal product code is sent via the Internet to a cross-referencing database, preferably maintained by the Internet Domain Name Service, which returns the Internet address of the particular manufacturer's server which then makes the desired product information available.

The present invention may be used to particular advantage to provide product information to web customers who visit web sites operated on behalf of retail stores which use universal product codes both for bar code checkout and to identify specific products in a computerized inventory control system. The retailer's inventory control systems need not store detailed product information since, by means of the invention, the universal product codes of items being offered for sale can be used to access product information directly from the manufacturer's servers.

In one embodiment of the invention, the cross-referencing function may be performed by a server which receives a hypertext transport protocol (HTTP) request message containing a universal product code, performs a lookup operation using a stored database of cross-references, and returns an HTTP response message which includes a location header field containing a destination URL specifying said particular Internet address. The requesting web browser then automatically redirects the request message to the destination URL.

The company code portion only of the universal product code may be stored in the cross-referencing database to refer a product information inquiry to the server operated by the manufacturer, with the remainder of the product code being sent to the manufacturer's server to identify the particular product. This reduces the size of the cross-referencing database, and further simplifies the process of registering manufacturers and maintaining the database.

When the cross-referencing server takes the form of an independently operated server, the standard Light-weight Directory Access Protocol can be employed to advantage to provide cross-references between all or part of each universal product code and the Internet address where information about that product may be obtained. Access to product information can be even more simplified and expedited by using the existing Internet Domain Name service to perform cross-referencing, a capability which can be added to the existing Internet infrastructure by simply reserving a preassigned name space for product code to address conversion.

By storing product information expressed in eXtensible Markup Language (XML), and by using stylesheet information provided by the web site which is incorporating product information into their web presentations, the data

supplied by the manufacturer can be rendered using font sizes, typefaces, background colors and formatting selected by the web page producer. Other characteristics of XML, including the ability to encourage or enforce conformity with content and formatting standards through the use of Document Type Definitions (DTD's) and the Resource Definition Framework (RDF) and Syntax Specification, facilitate the integration of data from retailers and other web page producers with the product information provided by manufacturers.

When the manufacturers of some products identified on web pages have not made product descriptions available, it is desirable to suppress the creation of visible link anchors which encourage users to attempt to activate links which will not work as intended. Such link suppression can be accomplished by determining the status of each desired link before the web page containing the product list is displayed. "Image-cued links" perform this function by employing, as link anchors, visible web page components such as image files which are retrieved via the cross-referencing server at the time the web page is displayed.

These and other objects, features and advantages of the present invention will be made more apparent through a consideration of the following detailed description of a preferred embodiment of the invention. In the course of this description, frequent reference will be made to the attached drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic block diagram illustrating various instrumentalities which make use of the invention interconnected via the Internet;

FIG. 2 is a diagram illustrating the interrelationship of the principle data structures used to implement a product code translator of the type contemplated by the invention;

FIGS. 3 and 4 are a flow diagram depicting the operation of a CGI program which implements the product code translator, responding to request HTTP messages containing universal product codes and redirecting those request messages to the URLs where information about designated products may be found;

FIG. 5 is a data flow diagram illustrating the manner in which a web browser interacts with a server which acts as a product code translator by redirecting links on a merchant's web page to product information made available by the manufacturer;

FIG. 6 is a data flow diagram illustrating a further embodiment of the invention in which a web browser interacts with a domain name server which serves as a product code translator, redirecting links on a merchant's web page to XML data provided by a shared sales server used by several merchants, the XML data from the manufacturer being displayed in accordance with an XSL stylesheet specification unique to each merchant, and the online merchant functions being implemented by the shared sales server which is connected to the conventional inventory control system operated by each of the merchants; and

FIGS. 7 and 8 are a block diagrams illustrating the principle components of a typical retailer's inventory control system and the interface between that system and a shared sales server which maintains a parallel but more limited inventory database used during on-line sales transactions.

#### DETAILED DESCRIPTION

The present invention takes advantage of two existing and highly successful technologies: the Internet and the univer-

sal product code system. In accordance with the present invention, the Internet is employed to provide low cost, worldwide, bi-directional communication which enables product information to be requested by and sent to any person or firm using one or more universal product codes as information access keys. The universal product code system is in widespread use to uniquely identify each of the thousands of different suppliers and millions of different items that are warehoused, sold, delivered and billed throughout commercial channels of distribution. In accordance with the invention, a product code translator which may be accessed via the Internet provides information enabling the translation of universal product codes into the associated Internet addresses at which information about the products specified by those product codes may be obtained.

The present invention enables the retrieval of information about products from the source of those products, typically the manufacturer, by those who need that information, such as resellers and consumers. In accordance with the invention, any person or firm having access to the Internet and knowing the universal product code for a product may obtain information about that product from the participating manufacturer which supplies that product. The system employs a product code translator, which may be implemented by a plurality of servers but which is illustrated by the single resource seen at 101 in FIG. 1. The product code translator is accessed via the Internet to perform a translation of specified universal product codes into the corresponding Internet addresses from which information about the designated products can be obtained.

The product code translator 101 stores cross-references between product codes and Internet addresses. The product codes and the Internet addresses are provided by or on behalf of participating manufacturers and suppliers, such as the manufacturer illustrated at 103 in FIG. 1. These cross-references may then be retrieved from the cross-reference resource 101 by resellers, prospective buyers, as illustrated by the distributor 105, the retailer 107 and the customer 109 seen in FIG. 1. Internet Service Providers, as illustrated by the ISP 111 in FIG. 1, may also utilize the data provided by the product code translator 101 to provide a variety of services and functions.

Before further describing how these entities function within the system, it will be useful to clarify some of the terms which will be used in this specification:

The term "universal product codes" (lower case) is used to indicate standardized industry or inter-industry codes used to designate items, packages and services made, used, leased or sold in commerce. The term thus includes the Universal Product Codes ("U.P.C.s") used by suppliers in the United States and Canada and managed by the Uniform Code Council, Inc., 8163 Old Yankee Road, Dayton, Ohio 45458; the EAN codes used by suppliers outside the U.S. and Canada under the general direction of EAN International, rue Royale 145, 1000 Bruxelles—Belgium; and any other multi-industry or single industry standard product designation system.

The term "manufacturer" will be used to refer to manufacturers, suppliers, vendors, licensors and others to whom sets of universal product codes have been assigned, or their agents. Typically, this assignment takes the form of the designation of a particular value for a portion of the universal product code which is reserved for exclusive use by a particular manufacturer. For example, the entity to which a specific six-digit "company-identifier" portion of a 12-digit numeric U.P.C. code has been assigned is a "manufacturer" as that term is used in this specification.

The term "product" is used to refer to a kind of item which is uniquely identified by a single universal product code, as opposed to a specific individual item of that kind. For example, a specific U.P.C. code is assigned by the manufacturer, Hershey Foods Corp., to 'Reese's Creamy Peanut Butter' as packaged in 510 gram containers (a "product") whereas a different U.P.C. code is assigned to the same peanut butter packaged in containers of a different size (a different "product").

The term "Internet address" will be used to refer to the all, or a significant part of, a reference to a resource on the Internet. Such a reference may take the form of a numerical IP address or an alphanumeric Uniform Resource Locator ("URL") which may identify a file on a specified machine, a database query, a specific command output, or some other accessible Internet resource. Thus, the term "Internet address" includes such things as a specific 32-bit address of a specific computer connected to the Internet, written in decimal as "123.040.212.002"; a domain name such as "patentsoft.com" which can be resolved into a numerical IP-address using a domain name server; the URL of a file accessible via the Internet, such as "ftp://www.sample.com/director/filename.xxx"; a URL identifying a query processing script with passed parameters, such as "http://xxx.yyyy.com/cgi/search%01234567890123"; or an email address such as "847563@manufacturer.com".

#### The Product Code Translator

The product code translator seen at 101 in FIG. 1 performs two primary functions illustrated in FIG. 2: (1) its registration handler 203 accepts cross-references submitted by manufacturers which relate their assigned universal product codes to associated Internet addresses where information relating to their products may be obtained, and (2) its query handler 204 accepts queries via the Internet 205, each query including all or part of one or more universal product codes, and returns the Internet addresses which can be used to obtain information about the products identified by those codes. The product code translator 101 may also advantageously perform other functions, examples of which are described below.

As seen in FIG. 1, the product code translator 101 may be advantageously implemented by a server computer which stores information in a relational database consisting of the tables depicted in FIG. 2. If desired, the product code translator 101 may be implemented with a plurality of "mirrored" servers at different locations, or clustered servers at the same location containing the same cross-referencing data to share the processing burden and provide redundant fault-tolerant reliability. In addition, different servers or sets of mirrored or clustered servers may be used to process different assigned subsets of the gamut of universal product codes. Whether one or many servers is used, each may be preferably implemented using conventional server hardware and conventional server operating system software, such as Microsoft NT Server, Netscape Application Server, SCO Unixware, Sun Enterprise Server, and the like.

The registration handler process 203, which may be implemented on a server which stores cross-references, or on a separate server operated by a central registration authority, receives each registration submission via the Internet 205 to create an incoming registration data illustrated by the data template record 207. The registration template record 207 includes several fields: an Company-ID field which holds the company-identifier portion of a universal product code in EAN format; a URL field which holds the

Uniform Record Locator constituting the "base address" at which information can be retrieved about products designated by those universal product codes, company information fields which include the company's name, mailing address and email address fields so that the manufacturer submitting the registration can be identified and contacted; and a date field which specifies the date upon which the registration was first made.

The registration handler 203 may obtain the submitted data needed to create the registration template record in a variety of ways, such as accepting a HTML web page form completed and submitted via the Internet by a registrant, processing an incoming email message containing the necessary information, or receiving the needed information by telephone or regular mail.

The registration handler process preferably incorporates a mechanism or procedure for insuring that the registrant has the authority to create and alter the information being supplied. A variety of methods for enhancing the security of the registration process may be employed, including the issuance of a password at the time a range of universal product codes is first registered, with the requirement that the same password be thereafter provided by anyone who seeks to alter the information originally provided with respect to any product code within that previously registered range. The registration procedure may also require each registrant to provide an email contact address to which an email message of predetermined content is sent after the initial registration form is completed, to which the registrant must respond within a predetermined time to verify the registration. Any attempt thereafter to change the contact email address results in a message being sent to the originally registered email contact address advising that an attempt is being made to alter the registration. Finally, email confirmation may be requested from the email address registered with InterNIC for the URL to which universal product codes are to be linked. This step confirms that the person attempting a registration in fact has authority to link to that host computer and provides an additional safeguard against unauthorized submissions.

Instead of maintaining a user name and password database, the registration handler can use a database of certificates, such as Certificate Server available from Netscape, to create, sign, and manage certificates for all participating manufacturers, configuring other servers to accept only authorized user certificates. A scalable database may be used to store the status of each certificate, and the issuance and revocation of certificates can be centrally administered from the product code translator or a separate registration authority. Similar password, certificate or digital signature protection schemes may be used to provide access to certain data or to data in certain forms only to authorized requesters.

The information contained in the incoming registration template 207 is used to create records (rows) in three separate tables in the relational database: a company table 211, a URL table 213 and a cross-reference table 215. As seen in FIG. 2, the company table 211 includes a numerical company number field CoNo which is also present in the cross-reference table 215 so that each cross-reference table row can be related to a particular company description record in the company table which has the same CoNo value. The key field CoNo establishes a one-to-many relationship between the company table 211 and the cross-reference table 215 since a participating company identified by a unique CoNo value may register more than one set of universal product codes, potentially associated with different

IP-addresses, requiring more than one row in the cross-reference table 215.

The Company-ID field in the registration template record is used to complete two fields, FromCode and ThruCode, in a row in cross-reference table 215. These fields specify a range of one or more consecutive universal product codes. Both of these two fields preferably stores a 64-bit integer which specifies a 14 decimal digit universal product code drawn from the global pool of 14-digit numbers which includes the U.P.C., EAN-13 and SCC-14 codes. In this way, all three coding systems can be accommodated by the cross-reference table 215; for example, a 12-digit U.P.C. number 7 12345 12345 9 is the same as the 13 digit EAN number 07 12345 12345 9 and the same as the 14 digit SCC-14 number 0 07 12345 12345 9. If the U.P.C. six digit company identifier 7 12345 is specified in the registration template Company-ID field, the FromCode field of the cross-reference table record would be loaded with the number 71234500000 to specify the lowest valued universal product code cross-referenced to the corresponding IP address in the IPAdr field of the table 215, and the ThruCode field would be loaded with 71234599999 to specify the highest valued universal product code cross-referenced to that IP address. The use of the low-value/high-value range specification fields in each row of the cross-reference table 215 permits different ranges of universal product codes having the same Company-ID value to be associated with different Internet addresses in the IPAdr field of the cross-reference table 215, thus enabling a single manufacturer having a single assigned Company-ID value to store information about different products designated by different sets of its universal product codes on different Internet servers, or to cross-reference non-continuous sets of universal product codes to the same or different servers. Note further that a manufacturer need not cross-reference all of its available assigned universal product codes, but may omit unused codes or codes designating products for which no information is to be made available.

The IP-address field in each row of the cross-reference table 215 holds a 32-bit IP address used to route Internet data packets to a destination computer using the TCP/IP protocol. The 32-bit IP address value in the cross-reference table 215 is obtained from the IP address field of the URL table 213, and that 32-bit address value is prefetched by querying a conventional domain name server (DNS) seen at 217 assigned to the cross-reference resource 101. The DNS 217 translates the alphanumeric URL in the URL field of the URL table 213 into the current 32-bit IP address used by Internet routers to guide data packets to the proper destination computer. The alphanumeric URL in the URL field of the URL table 213 is supplied via the registration template 207 when Internet location of the manufacturer's product description data is supplied during the registration process.

The separate URL table 213 has a one-to-many relationship to the cross-reference table 215 and uses the 32-bit IP address value as the relational key. This arrangement allows a single URL base address to be shared by a plurality of different manufacturers. Thus, for example, a single Internet service provider (ISP) may act as a shared Internet resource for storing data about a products originating from many different manufacturers. It is accordingly unnecessary for each manufacturer to operate its own server or have its own assigned URL. Instead, a manufacturer may place its product descriptions on any server having an assigned Internet address. Note that it is further unnecessary for the manufacturer to have, or supply, an assigned URL rather than a numerical IP address; however, since corresponding URL's

are ordinarily available and easier to remember, and because it may be desirable to later change numerical IP addresses while retaining the same URL, the use of URL's for registration is preferred.

Note also that, because URL/IP address assignments may be added, altered or deleted on a daily basis by the URL assignment authority, updates to the DNS tables should also be reflected by automatic updates to the cross-reference table IP-Address fields. In this way, a change in URL/IP address assignments propagated in the DNS system require no additional action on behalf of the manufacturers to insure the continuing ability of the product code translator to produce the appropriate new IP addresses in response to universal product code queries. If, as discussed later, the Internet domain name system itself is used as the product code to IP address translation mechanism, updating two tables would be unnecessary.

With the foregoing as background, the registration and query/response functions performed by the product code translator may be summarized as follows: each participating manufacturer, or someone acting on its behalf, submits a registration which generates an incoming registration template 207 containing information about the registering manufacturer, including an identification of the universal product codes which designate products for which information is to be made available, together with the URL which specifies the Internet resource which will make that product information available.

The supplied URL is stored in the URL Table 213 and converted into a numerical IP address in the IPAdr field of the URL Table 213 using an available domain name server 217. This 32 bit IP address is stored in the IPAdr field of the cross-reference record (row) in table 215, along with a specification of the universal product codes of the products described by information which is available at this IP address, the range of codes being specified by the values stored in the FromCode and ThruCode fields in the new record in cross-reference table 215.

When an incoming query is received by the query handler 204, a table lookup function is performed by searching the cross-reference table 215 for a row record or records which specify a set of universal product codes which include the code or codes specified by the query. If matching row(s) are found, the IP-address(es) found in the matching row(s) are returned to the query submitter; otherwise, a special code (such as a zero valued IP Address) is returned to indicate that information for the product code(s) of interest has not been registered.

The Internet resource which acts as the product code translator can additionally perform some or all of the following additional functions:

It can respond to a request for information about a particular participating manufacturer and return to the requester the information in the company table 211 as well as the specification of all of the registered universal product codes assigned to that participating manufacturer and the IP address (or URL) of the location where further information on the products designated by the registered universal product codes may be obtained.

The product code translator can respond to a query containing a designation of one or more universal product codes by identifying the email address of the manufacturer. The product code translator, or any other computer which obtains cross-references between universal product codes and email addresses from the product code translator, may act as an SMTP forwarding agent; for example, forwarding

9 email which contains a designation of universal product code from a sender to an email address designated by the manufacturer of the product designated by that code. Alternatively, resellers and others may obtain email addresses from the product code translator which can be included in "mailto:" hypertext links in product listings, allowing a webpage viewer to display and complete a blank email request for information which is routed directly to the manufacturer's designated email address. The email address returned in response to a request may be a standard email address such as "upcinfo@domainname" where "domainname" is the domain name portion of the URL supplied by the manufacturer, in which case the specific universal product code would, by convention, be supplied as all or part of the "subject" of the email message sent to that address, enabling the manufacturer to identify the specific product which is the subject of the inquiry.

The product code translator can further provide all or part of the information from company table 211 to provide information about the manufacturer(s) to whom registered universal product code or codes are assigned. Note that, in general, the information which is required or recommended for inclusion with other company information may be limited to that data necessary or desirable to enable the code translator to perform its functions. Other information about the company may simply be placed in an allocated namespace on the manufacturer's server.

The cross-referencing utility can provide the entire contents of its URL table to a requesting computer, such as a search engine which can then perform conventional "web crawler" indexing of the websites specified by the listed URLs and/or IP addresses, thereby generating complete or partial indexes to all or less than all of the products whose product description locations have been registered with the product code translator.

The cross-reference table 215 can be scanned by the product code translator in response to a request for certain universal product codes only; for example, books are assigned EAN numbers which always begin with the prefix number 978 before the company-id value (publisher designation) portion of the International Standard Book Number (ISBN) which makes up the remainder of the EAN number for each book, allowing all IP addresses for information about books to be provided by the cross-referencing server to create a database or index to book information. In the same way, the cross-reference table could be scanned for product codes assigned to a particular manufacturers (e.g. book publishers) to provide a more focused index.

The product code translator, as noted earlier, may facilitate the registration process by providing a website from which HTML registration form pages may be fetched, displayed and completed using a conventional web-browser program. In addition, the product code translator may advantageously make query forms available to permit information request queries to be made directly, as well presenting informational web pages which provide instructions and guidelines for registration procedures, recommendations for the storage of information on registered information resources, and instructions and downloadable software which may be used to simplify and facilitate searches and functions performed at other resources on the Internet which utilize the services provided by the product code translator. When, as discussed later, the Internet domain name service is employed to perform product code or company code translation to an Internet address, the authorized DNS registration authorities can provide informational and registration services to registrants.

The present invention provides significant advantages and opportunities to manufacturers. Information which manufacturers now distribute in other ways can be made immediately available to those who need or desire that information. Examples include text and graphics which describe and promote the sale of each product to potential buyers; product labeling information, some of which may be required to be made available to potential buyers such as product weights and volumes, ingredients, nutritional facts, dosage and use instructions, some or all of which is now included on product packaging and which can be reproduced as mixed text and graphics HTML page for viewing by distributors, retailers, advertisers, catalog publishers, potential customers and purchasers; logos, photographs of products, and other graphics files in a variety of resolutions for use by both electronic and print rendering to promote product sales, usage and support. Instructional and service information, including self-help diagnostics and recommended solutions, product part lists and ordering information, product return procedures, current pricing information, identification of dealers and distributors, warranty and guarantee explanations, and support telephone numbers may be provided.

The scope and content of the information each manufacturer makes available is completely under the control of that manufacturer. In order to make this information accessible in a standard way, it is desirable that the manufacturer conform to standard resource naming conventions so that interested parties which obtain the manufacturer's registered IP address from the product code translator can find the desired information at this address. This naming convention may take numerous forms, and the following are merely exemplary:

A root directory named "upcinfo" may be created on each registered computer, and a subdirectory having a name which is the universal product code (expressed as a zero-filled, right-justified fourteen digit number) is created to hold the information concerning the product designated by that universal product code. At the minimum, each such directory includes a product home page named "info.html" which typically provides whatever general product information the manufacturer wishes to place before all interested parties. This product home page may link to additional information related to the product on other pages when appropriate.

By way of example, a product HTML home page for a book would be created by the book's publisher and could include a complete bibliographic citation identifying the title, author, book type (hardcover, paperback, etc.), recommended retail price, ISBN number, number of pages, publication year, etc. In addition, each book's home page might include an imbedded thumbnail image (JPEG or GIF file) of the book jacket, and links could be added enabling the viewer to see additional information concerning that book when available, such as an interview with the book's author, quotes from favorable reviews, book group discussion guides, a table of contents or introductory chapter, etc.

Thus, information uniquely formatted to best advantage by the manufacturer could be made available by accessing a single URL, having the same form for all products, formed by combining the IP-address obtained from the standard by concatenating a prefix and suffix. The suffix has the form:

"upcinfo/12345678901234/info.html"

where the numerical part of the suffix is the universal product code directory name, and where the suffix is appended to the at the end of the prefix of the form:

"http://23.123.40.198"

consisting of the protocol identifier "http://" and by the 32-bit IP address from the product code translator written in its standard four decimal number format (four three digit numbers separated by periods, each of which is a value in the range 0-255 representing the binary value of one of the four 8-bit bytes making up the 32-bit IP address).

If a manufacturer stores product information in a database, the product directories and the HTML and other data files which are to be made available can be rewritten automatically under program control as the information in the manufacturer's database changes. Alternatively, a request for a particular "file," such as the web page designated "/upcinfo/product-code/info.html," may be intercepted at the manufacturer's server and handled as a database query to which the server responds by dynamically writing an HTML response page using information in the manufacturer's product database. Available database program development tools, such as Microsoft's Access 97 and Borland's Delphi 3.0, include database manipulation tools which allow programs to be readily written which automate the process of generating product description pages from an existing database.

The present invention may be employed to allow the same information found on a product's packaging to be made available to prospective online buyers. For food products, for example, in addition to the product name, logo and promotional materials, such existing packaging information typically includes an ingredient list, nutrition facts, serving suggestions and directions, recommended recipes, and product guarantee information. Over the counter pharmaceuticals, cosmetics and health care products often include further information, such as specific directions on dosage and use, warnings and instructions in the event of misuse, storage and product lifetime information, and active ingredient specifications. Frequently, this valuable information is printed on a product container or container insert which is discarded shortly after purchase. By making this information readily available to purchasers and end-users over the Internet, the manufacturer can help insure that such valuable product information, some of which may be legally required on the product's packaging, is available to the consumer at the time of an online sale and after the product has been purchased.

While information of the kind traditionally placed on product packaging already exists and can be converted by the manufacturer into a format suitable for publication on the World Wide Web, and thus made widely available at little cost, the invention allows information in other forms to be provided at low incremental cost. For example, multimedia presentations may be presented to promote, describe and support a product and its uses. User manuals and service documentation can be provided in Adobe Acrobat portable document format or the like for viewing and printing by resellers, service personnel and consumers.

It is frequently desirable to transfer to another computer data created by the manufacturer which provides limited product description information for each product offered to enable more efficient indexing, cataloging, inventory control, and other applications. By way of example, in the bookselling industry, publishers, distributors, retailers, and libraries often require a database of bibliographic informa-

tion which consists, for each book, of the book title, author name(s), publisher's name, publication date, type of book (hardcover, paperback, etc.), page count, recommended retail price(s), and ISBN number (which takes the form of a subpart of the EAN universal product code). To the extent the content and format of data records which describe particular classes of products in particular industries and trade groups have been previously adopted and placed in widespread use, those structured data records may advantageously be made available utilizing the present invention. This is preferably achieved in two ways: a data record (file) containing such field-structured information about each product which is designated by a universal product code is placed by the manufacturer in the directory it creates for that product. This structured data record is given a filename indicative of the format used to store the structured data. For example, each directory bearing a name corresponding to the EAN number for a book would preferably contain a file named "biblio.dat" which contains a single structured record containing bibliographic data describing that book.

In addition, the manufacturer would place a combined file, also called "biblio.dat" in its root "/upcinfo" directory which contains all of the records for all of the products individually described in the subdirectories which have that structure in a single file. For most manufacturers, these structured data files, both individual record files in the subdirectories and the combined file in the root directory, may be automatically created and updated on a periodic or dynamic basis from the content of the manufacturer's existing database. The use of a single combined file at each server permits multi-manufacturer databases to be created by first retrieving the IP-addresses of all or part of the cross-reference table 215, and then retrieving and merging the combined data files from the "/upcinfo" directories from each identified server. Alternatively, when information about all of a given manufacturer's products of a given type is not desired, the needed individual structured data files can be retrieved from the individual product directories.

As described later in more detail, the information which the manufacturer makes available can advantageously be stored using the eXtensible Markup Language (XML), which is also well suited for providing metadata which defines and describes the meaning of the various kinds of information that can be provided about individual products, groups of products, and the manufacturers and distributors from which those products are obtained.

This ability to obtain accurate and up-to-date product information from the manufacturer can substantially reduce the cost to resellers, catalog producers, and database vendors which is traditionally incurred in capturing this data by conventional means. For example, a retailer creating a computerized inventory control system for the first time with previously purchased merchandise may use a conventional hand-held barcode scanner to capture the universal product codes from all goods in inventory, and then retrieve complete and accurate product description records for each product via the Internet using the present invention.

The ability to obtain, update, and verify product description information by accessing manufacturer data can be readily included as callable functions built into inventory control and EDI software used by manufacturers, distributors, and retailers. Institutional "consumers," such as hospitals, government agencies, and libraries, may use the information to build internal databases for internal use.

The structured records noted above are typically, but not necessarily, copied into a separate database which is thereafter manipulated by the requester. Because each copied



database record includes a field containing the universal product code, the ability to obtain and verify data in the remainder of the record from the manufacturer's server is retained. Note that it is possible for the user of the local database to verify, update and add to the product information specified by the universal product code at the time that data is referred to or relied upon. In addition, or in the alternative, the database can be periodically and automatically verified against current data made available by the manufacturer and updated to insure the continued completeness and accuracy of the entire local database.

The present invention enables a computer connected to the Internet to dynamically retrieve arbitrarily large quantities of data about an individual product when needed. This capability makes it unnecessary, and normally undesirable, to copy "content" into a local database which is not needed for structured indexing and retrieval purposes. Thus, again using books as example products, the local database might consist simply of title, author and publisher information to form a searchable local database. This database could be built by first obtaining all of the IP-addresses for universal product codes beginning with "978" (the EAN prefix for books) from the product code translator, retrieving the combined "biblio.dat" file from the "/upcinfo" directory at each IP-address, and extracting the universal product code, title, author and publisher data from these records to form the desired searchable local database. This database may then be rapidly searched to produce an output listing of all books meeting a specified search criteria, and complete information about each of the identified books can then be obtained using the universal product codes.

General product information indexes can be also readily be created by means of conventional "web crawler" indexing engines of the type now widely used to index World Wide Web sites. These indexing engines may scan either the product descriptions created by the manufacturer in the form of HTML or multimedia files, or the structured data files containing fielded information, or both. By limiting the scope of the information indexed to the product information data identified by the product code translator, search results produced by these product indexing systems are less likely to be obscured by references to other, less relevant information which happens to employ the term or terms used in a search request.

The principles of the invention may be applied to particular advantage by online resellers. By making detailed, accurate and up-to-date information about products which are offered readily available to interested prospective buyers, both the reseller and the manufacturer can more effectively promote the offered product to an interested buyer, and the buyer can make a more informed buying decision by obtaining more detailed information which facilitates product comparisons and matching the product's features with the buyer's needs.

In this regard, it may be noted that small retailers can employ shared software and services, and share access to product information and promotional materials made available by the manufacturer in accordance with the invention, at low costs, enabling even the smallest retailer to offer its entire inventory of products (and more) to its customers at low cost, with each product being fully described and promoted by the materials made available by the manufacturer. Similarly, small manufacturers can effectively describe and promote their products throughout a widespread distribution system by simply placing their available promotional and descriptive materials on an available shared server and registering the assigned universal product codes together

with the shared server's address, for distribution by the product code translator, all at minimal cost.

In addition, the present invention may be used to advantage in combination with Electronic Data Interchange, a standard mechanism for exchanging business documents in standard format between computers. EDI systems typically use value added networks (VANs), such as the networks provided by GE, IBM Atlantis and Sterling, or EDI transfers can be made via the Internet using services such as those provided by EDI Network of Turnersville, N.J. Using EDI, manufacturers make available electronic catalog descriptions of their products being offered for distribution and resale. When a buyer selects products of interest to order from the vendor's catalog, the retailer's computer accesses the vendor's computer to transfer the U.P.C. codes to the retailer's computer without rekeying. The retailer may then issue an EDI 850 purchase order transaction which is sent to the vendor's mailbox. In addition, the EDI system may transfer limited additional information to the retailer, such as suggested retail price. When the products are shipped, an EDI 856 shipping notice is sent to the retailer containing bill of lading information (bill of lading number, carrier and weight), purchase order information, and carton contents using U.P.C. product codes and counts. The vendor also sends an EDI 810 invoice to the retailer in EDI format which enables the retailer to process the invoice and schedule payment either by check or electronic funds transfer, using an EDI 830 remittance advice transaction to give payment details for invoices being paid.

These EDI transactions enable retailers to not only automate product procurement functions but also to easily maintain an accurate inventory control system in which each product is designated by a universal product code. The present invention may be used to augment an EDI system by providing resellers and consumers with detailed product information for any product designated by a universal product code which is made by a participating manufacturer.

Internet Service Providers, such as the ISP indicated at 111 in FIG. 1, may provide shared computer services which interoperates with a reseller's inventory control system to provide customers with the information they desire before and after making purchases.

As seen in FIG. 1, and as previously discussed, a reseller (including both the example distributor 105 and the example retailer 107) may be assumed to have conventional inventory control systems, typically using EDI document processing, which includes in each case inventory data consisting of at least the universal product code for each product and, typically, count numbers indicating quantity on hand, quantity on order, quantity backordered, etc. This limited part of the reseller's database can be transferred from the reseller's inventory database (at 105 or 107) to an ISP 111 which serves many resellers but maintains a table of universal product codes for all goods offered by each reseller served, together with the on-hand counts for each code.

The ISP 111 hosts a website for each reseller served in conventional fashion, typically using a domain name assigned to the reseller. The ISP further makes available online merchant software which enables customers to search the reseller's website for products of interest, and view lists of products resulting from each search. Examples of such merchant software include Microsoft Site Server, available from Microsoft Corporation, and Merchantec Softcart marketed by Mercantec, Inc. of Lisle, Ill. Using the present invention, product listings presented to customers by these online merchant software systems may be enhanced with links to detailed information about any product of interest



made available by participating manufacturers. The searchable product database used by the ISP 111 may be built, as described above, using the universal product codes supplied by the retailer to access the structured data files made available by the participating manufacturers (e.g., manufacturer 103 in FIG. 1) at the IP-addresses supplied by the product code translator.

The implementation of the invention may be facilitated by supporting software which performs a number of utility functions. As noted above, programs may be readily written to automate the conversion of information stored in a manufacturer's existing product database into the form of static or dynamically generated HTML pages which can be transmitted to fulfill information requests routed to the manufacturer by the cross-referencing facility. Industry and inter-industry groups can promulgate standards and guidelines which will promote consistent formats for product descriptions which are accessed in accordance with the invention. Inventory control and online merchant software can be readily enhanced to take advantage of the availability of database records and more robust product descriptions which are made available via the Internet. Product information can be made available at terminals and kiosks placed in retail stores, showrooms and public places.

#### Using HTTP Relocation to Redirect Product Information Request Messages

The Perl program show.pl, listed in detail in the microfiche appendix, is a CGI (Common Gateway Interface) program which executes on a Web server and which operates as a product code translator as seen at 101 in FIG. 1. This illustrative program uses a file-based database rather than the relational database depicted in FIG. 2. The database consists of a set of files, each of which is designated by a file name consisting of a company code followed by the suffix ".xrl" and each containing cross-referencing information for all product codes beginning with that company code. The Perl program show is specially adapted to locate information on books which are generated by a universal product code known as the International Standard Book Number (ISBN), a nine digit decimal number followed by a check character, used by the publishing houses, book distributors, retail bookstores and libraries to uniquely identify books. A variable number of leading digits of each ISBN designate particular publishers, with the remaining digits being assigned by that publisher to designate a particular edition of a particular book.

The Perl program show.pl processes an incoming HTTP message containing a parameter which specifies the value of a universal product code (in this case, an ISBN number), performs a table lookup operation to retrieve the URL at which information about the product specified by that URL may be found, and then returns an "error" message to the requesting browser which contains that URL in the response message's "Location" response field. As specified in Section 10.11 of the Hypertext Transfer Protocol—HTTP/1.0 specification, RFC 1945 (May 1996), the Location response-header field defines the exact location of the resource that was identified by the Request-URL for type 3xx responses, and the location field must indicate the server's preferred URL for automatic redirection to the resource. Only one absolute URL is allowed. The response header may also include the status code 302 which, under the HTTP protocol, indicates that the target data has "moved temporarily" to the URL specified in the location field. In practice, however, it has been found that inclusion of a status code value is not necessary to enable existing web browser programs to

automatically redirect the original request to the new location specified in the location response header.

The Perl CGI program processes an incoming HTTP message request directed to a URL of the form "http://www.upclink.com/cgi-bin/show?isbn=1234567890" which is parsed as follows: "www.upclink.com/cgi-bin" is the name of the directory holding the show.pl Perl program and "show?isbn=1234567890" calls the show.pl CGI program and passes to that program the parameter "1234567890" represented by the parameter name "isbn". The ability to execute Perl CGI programs is a common feature of most web servers, and is described, for example, in "Developing CGI Applications with Perl" by John Deep and Peter Holfelder, ISBN 0-471-14158-5 (John Wiley & Sons—1996). The Perl programming language is described in many texts including "Perl 5 Complete" by Edward S. Peschko and Michele DeWolfe, ISBN 0-07-913698-2 (McGraw Hill 1998). Hypertext Markup Language is also widely used and described, for example, in "HTML Publishing Bible" by Alan Simpson, ISBN 0-7645-3009-7 (IDG Books Worldwide 1996).

As seen in FIG. 3, the show program is entered at 301 and calls a sub routine named get\_isbn which processes the incoming message. As indicated at 303, the subroutine get\_isbn first loads the input string variable named \$isbn with the parameter named "isbn" supplied by the calling message. The subroutine get\_isbn then calls the subroutine isbn\_message which returns the string "ok" if the contents of \$isbn satisfy the requirements for a correct ISBN (International Standard Book Number); that is, the string must contain 10 digits as indicated by the test at 305 and, as indicated at 307, the first nine digits must translate using a predetermined algorithm (performed by the subroutine check\_char) into a check digit character which matches the last (10<sup>th</sup>) character in the incoming ISBN number stored as the string \$isbn.

The algorithm for generating an ISBN check characters works as follows. First, note that an EAN numbers for books may be converted to the book's ISBN number by removing the first three digits (978) and the last digit from the EAN (the last digit is the EAN check digit, leaving a nine-digit number. For example, EAN 9780940016330 becomes ISBN 094001633 (the first nine digits without the ISBN check character. To generate the ISBN check character, each ISBN digit is multiplied by a predetermined associated weighting factor and the resulting products are added together. The weighting factors for the first nine digits begin with 10 and form the descending series 10, 9, 8 . . . 2. Thus for the nine digits 0 9 4 0 0 1 6 3 3, the products summed are 0+81+32+0+0+5+24+9+6=157. This sum is divided by the number 11. (157/11=14 with 3 remainder). The remainder, if any, is subtracted from 11 to get the check digit. (11-3=8). If the check digit is 10, it is represented by the Roman numeral X. The final ISBN in our example is accordingly 0-940016-33-8. By generating the check digit and comparing it with the received check digit, the validity of the ISBN may be verified.

If the incoming ISBN string passes all of these tests, the routine isbn\_message returns "ok," otherwise, it returns an appropriate error message and the subroutine send\_error\_page is called at 309 to write and transmit an HTML error page to the requester, advising that the ISBN number supplied was incorrect.

When the request containing an invalid ISBN number is being supplied from a source other than the user, such as an online retailer's web site which employs the ISBN number

supplied by its inventory control system, an advisory error message can also be sent directly to the retailer to indicate that an error was detected. Although such an advisory should be unnecessary, since a similar algorithm for identifying invalid ISBN numbers should be used by the retailer to validate the data before transmission, it is nonetheless desirable to report such errors to the source as well. The error report may sent as an accumulated error log file or as an immediately transmitted message sent to a predetermined error message handling routine provided at the retailer's server.

If the \$isbn variable meets the length and check-digit tests, it is processed by the subroutine make\_cocode which determines, for that ISBN number, how many of the leading digits constitute the "company code" which is assigned to a particular publisher. The routine make\_cocode performs this operation by calling the subroutine second\_hyphen\_position which performs tests to determine the number of digits in the company code which can be established from the value of \$isbn.

The relationship between any given ISBN and the URL which identifies the source of information about the book designated by the ISBN is selected by the party (typically the publisher or its designated agent) which controls the server which provides that information. Because different publishers and their web site hosts may use different methods for establishing URLs for their book information, the Perl script show.pl operates in different ways depending on the company code \$cc which forms the leading digits of the incoming ISBN.

The show.pl script handles these differences by fetching a file of control information from a file having the name "../link/cocode.xrl" which is constructed from the company code. The portion "../link/" is a predetermined data directory available to the CGI script show.pl, "cocode" is the numerical string corresponding to the company code extracted at 311 from the ISBN by the make\_cocode routine, and ".xrl" is a standard file suffix. For example, if the incoming ISBN is "8870812345" the routine make\_cocode will determine that the first five digits are the company code, causing file name "../link/88708.xrl" to be stored as the variable \$cstr. As seen at 313 in FIG. 1, the subroutine load\_xrl loads the named control file from local disk space at the cross-referencing server which executes the Perl script. If the file named \$cstr is not found, an error report is issued indicating that no cross-referencing data has been supplied by the company identified by the company code in \$cstr as seen at 315 and 317.

The contents of the fetched file are then analyzed by load\_xrl which fetches the first line and performs a test at 321 (in FIG. 4) to determine if the fetched file is a multiline text file with the first line holding the string "list". If not, the file contains a single line.

If the file is a single line file, as seen at 323, the contents of the single line are used in combination with the ISBN number to form the URL which specifies where the desired book information may be obtained. For example, if the first line of the control file named "../link/88708.xrl" contains the string "http://www.upclink.com/ss.html", the subroutine load\_xrl inserts the hyphenated form of the ISBN at the position indicated by the space to form the URL "http://www.upclink.com/ss/88708-1234-5.html". The first and second characters of the control file are used to identify variations in the manner in which the ISBN and the control file string are combined to form the URL. For example, if second character of the control file (an "s" in the example) is an "s", the ISBN is hyphenated before being substituted for the space in the string, and the "s" is replaced with a "i".

If the first line of a multiline control file contains the string "list", all of the lines of the control file are read into a hash table. Each line contains both an ISBN and the URL where information about the book identified by that ISBN can be found. A hash table lookup is then performed to find the particular line holding the target ISBN, and the desired URL is obtained from the line found as indicated at 325 in FIG. 4.

After the target URL is formed, the subroutine send\_response returns an HTTP response message to the requesting browser which reads:

"Content-type: text/html\n location: \$target \n\n" where the URL previously determined is substituted where \$target appears. This message is interpreted by the web browser which receives the message as an indication that the requested information (requested from the URL "http://www.upclink.com/cgi-bin/show?isbn=1234567890") has been relocated to the URL specified by \$target. The requesting web browser then automatically resends the request to the location where the needed information is actually located, and does so in a way that is transparent to the user who will normally be unaware that the transmitted request has been redirected to a different location.

FIG. 5 of the drawings provides an overview of the typical operation of the CGI Perl script show.pl described above. An online shopper manipulates a web browser application program indicated at 330 to look for and purchase a particular book. In doing so, the shopper provides the browser 330 with the URL of an online book retailer which operates the online sales server seen at 332 in FIG. 5. During the browsing session, the sales server transmits a web page 334 which lists the citations to one or more books, and each of those citations anchors a hyperlink to the cross-reference server seen at 336.

The operator of the sales server which creates the book list web page needs nothing other than the ISBN number of the book to create a link. However, as part of its inventory control system database, the retail web site typically has limited additional information, such as the title, author name(s), publisher name, as well as the ISBN. Using this available information, the book listing webpage can include an informative citation to the book which forms the anchor of a hyperlink to additional information. For example, if the following citation information from the retailer's inventory control system is used to display the following book listing:

*8 Ball Chicks: A Year in the Violent World of Girl Gangs,*  
By Gini Sikes, Anchor Paperback, ISBN: 0-385-447432-6. *Learn More*

The hyperlink anchor "Learn More" at the end of the citation is formed by the following HTML:

```
<a
href="http://www.upclink.com/cgi-bin/show?isbn=
0553571656"
>
Learn More
</a>
```

When the anchor text "Learn More" on the web page 334 is clicked on by the shopper, an HTTP request message 338 is sent to the href address of the cross-referencing server 336, triggering the execution of the CGI Perl program show.pl which returns the redirection error message 340, thereby informing the web-browser 330 that the desired information is at a different location. The web browser 330 immediately (and transparently to the shopper) reissues the request to the address specified in the relocation error message. This redirected request, seen at 342, is transmitted to the product information server 344 operated by the publisher, which returns a web page 346 to the browser

containing the desired additional information on the book. The web page 346 typically includes an image of the book jacket, a synopsis of the book, and brief reviews, and may well contain links to additional information provided by the publisher, such as an author interview, a table of contents, or whatever else the publisher may wish to include. In this way, the buyer is provided with up-to-date and detailed book information which is equivalent to or superior to the information which may be obtained by picking up the book from the shelf of a "bricks-and-mortar" bookstore.

#### Image-Cued Links

Because additional information may not be available via the Internet from the publisher for all of the books in the retailer's inventory, it is desirable to provide a mechanism which avoids suggesting that a site visitor should click on links that will ultimately prove unworkable.

The mechanism involves the prior retrieval of data describing which universal product codes have been registered before web pages containing links using these codes are generated. For example, a sales website could transmit a list of the company codes for those universal product codes of products to be offered for sale, and obtain in return a listing of those company codes which have been registered. Alternatively, a list of supported company codes could be periodically broadcast (e.g. by FTP transmission) to subscribers. The sales website could then use this list to distinguish those products for which additional information was being made available by the manufacturer from those for which there is no additional information, and include links on product lists only when they will work.

Alternatively, the web page producing site can perform a prior fetch of the needed Internet addresses from which product information may be obtained by sending a request message containing one or more universal product codes (or company codes) to the cross-referencing server and receive in return a list of the corresponding Internet addresses. In this way, the links to additional information contained on product list pages can be refer to the manufacturer's servers directly, and can suppress the creation of links when no information has been made available.

A mechanism here called "Image-cued links" can also be used to suppress the appearance on a web page of links to unavailable product or company information. For example, an image-cued link can display a graphical icon for a book listing on web page 334 which might be either a visible button with the legend "Learn More" or an invisible (transparent or single-pixel) graphic image, depending on whether or not the ISBN for a listed book has a corresponding URL stored at the cross-referencing server 336. The HTML for an image-cued link to information about the book identified by ISBN 0821219804 might be written like this:

```
<a
href="http://www.upclink.com/cgi-bin/show?isbn=
0821219804">

```

where the "anchor" for the link to the CGI script named "show" is an imbedded image (fetched from a different CGI script named "button" which also executes on the cross-reference server). The Perl script button.pl is also reproduced in the Appendix and performs the same initial processing of the incoming ISBN number as the script show.pl. Both show.pl and button.pl determine whether data is avail-

able from which a cross-reference from an incoming ISBN number to a URL can be made. If it the cross-reference can be made, show.pl returns a relocation message containing the needed URL whereas button.pl returns the URL of an image that indicates that more information is available. If the cross-reference cannot be made, show.pl returns an error message in the form of an HTML page while button.pl returns the URL of a null image (either a transparent image or a single pixel image). Note that the content of the image file may be controlled by the web page producer since the CGI routine at the cross-referencing server (e.g. button.pl) may be unique to the caller and may hence return image URL's specified by the caller. Alternatively, a single CGI button routine can be used with the desired image URLs being passed as parameters to the cross-referencing server.

The net effect on the web page is the appearance of a button or other image inviting the site visitor to click on a link to learn more when more information is available, but to suppress the display of the button, or to display a "no information available" button or image when the cross-reference cannot be made. In this way, the site visitor is affirmatively informed when more information is available, and discouraged from looking further when no information is available, while the HTML placed on the book listing has a standard form which can be included without prior knowledge of whether needed data is available or not.

#### Product Code Cross-Referencing Using the Lightweight Directory Access Protocol

The Internet LDAP protocol may be used to advantage to implement the product code translation process. This protocol, developed at the University of Michigan and later further developed by Netscape Communications Corp. provides both access and update capabilities, allowing directory information to be created and managed as well as queried. LDAP is an open Internet standard, produced by the Internet Engineering Task Force (IETF), the same body responsible for creating TCP/IP, the Internet domain name service (DNS), and the hypertext transport protocol (HTTP). The LDAP protocol is defined in RFCs 1777 and 1778 and informational documentation is further provided in RFC 1823. The use of LDAP to provide directory lookup services via the Internet is further detailed in the literature. See, for example, *Implementing LDAP* by Mark Wilcox (Wrox Press—1999) and *LDAP—Programming Directory Enabled Applications with Lightweight Directory Access Protocol* by Tim Howes and Mark Smith (Macmillan Technology Series—1997). Operational LDAP server software may be purchased from a variety of sources, and includes the "Netscape Directory Server" marketed by the Netscape Communications Corporation.

An LDAP server may be advantageously employed to store "entries," each of which is uniquely identified by a distinguished name (DN) which may take the form of the company code portion of the universal product code, creating a "flat namespace" in a single level tree structure, with the remainder of the entry including a string specifying the URL of the server resource from which information about products assigned that company code may be found. In one arrangement, an online merchant's server may send a request to a remote directory server using the LDAP protocol to obtain the URL at which information about a specific product is available. Next, the merchant's server could again use the LDAP protocol to fetch information about a specific product designated by the remainder of the universal product code from a second LDAP directory server at the URL specified by the first server, the second LDAP server being

operated by the product manufacturer to store the URL at which data describing particular products is stored. The actual product data may advantageously be stored as XML "documents" as discussed later.

#### Product Code Cross-Referencing with Domain Name Servers

Cross-referencing a universal product code to the Internet address of the source of information about the product designated by that code can be advantageously performed by Internet domain name servers (DNS). Conventional Internet domain names are symbolic names (a character string) that identify different computers and resources on the Internet. While computers connected to the Internet actually use binary IP (Internet Protocol) addresses to find each other, people find words and abbreviations to be much more convenient to remember and use. The Internet domain name system assigns computers and resources a domain name that corresponds to the numerical IP address used to access that computer or resource. Each domain name must be unique, and server operators register their desired domain names with a domain name registration service.

Domain names are composed of a hierarchy of names that appear in descending levels from right to left. Therefore, the levels that appear at the end of URLs and E-mail addresses are the first-level and second-level domains. For example, in the domain name "patentsoft.com," the suffix "com" is the first-level domain, and "patentsoft" is the second-level domain. By creating a new first-level domain (e.g. "upc"), the universal product code, or the company code portion of a set of universal product codes, could form the second-level domain.

Note that, if the company code portion universal product code is used as the second-level domain, registration need only be done once for all product codes sharing that company code. Note also that, as noted earlier, it is desirable that each manufacturer respond to a request for information about that particular participating manufacturer. For example, retail merchants and distributors may advantageously use the company code portion of a universal product code to access a variety of useful information about the company generally, including contact information and distribution, shipping and discount policies. In this way, any retailer can use the web to obtain general information about a company while those retailers with established accounts with a particular vendor (as confirmed, for example, using digital signatures) may obtain private information which is hidden from the general public.

In this way, universal product codes and/or company codes can be used as domain names which are cross-referenced to IP addresses using existing DNS facilities. Thus, when a web browser issues a request directed to a URL including the domain name "123456.upc," the DNS server (typically assigned by the customer's Internet service provider) responds with a corresponding IP address of an information server maintained by or for the company designated by the company code "123456" (assuming that company has registered the IP address for that company code with a DNS registration authority). If the assigned DNS server doesn't already have the cross-reference between that product code or company code domain name and the manufacturer's server's IP address, it asks the primary DNS server that is responsible for the domain if it has the server's IP address. If the primary DNS is busy or unavailable, it will ask the secondary DNS server assigned to that domain. When the customer's DNS server gets the manufacturer's

server's IP address, it can then ask that server for permission to view a web page or otherwise obtain information about the designated product.

Note that the registered domain name can advantageously take the form of the company code only, with the remainder of the universal product code being passed as a parameter to the manufacturer's server. For example, if "123456" is the company code portion of the product code, and the trailing digits "7890123" designate a particular product made by that company, the assigned domain name might be "123456.upc" and the full URL for the product might take the form "123456.ean/7890123" (for a thirteen digit EAN-13 code). It would thus be up to the manufacturer's server to intercept and process the product designating suffix digits "7890123" to identify and return information on the particular product specified. Note also that different universal product code systems, such as the UPC codes used in the United States and Canada, the EAN codes used elsewhere in the world, a 14 decimal digit universal product code drawn from the global pool of UPC and EAN numbers, or ISBN numbers used by the publishing industry, might conveniently be assigned different first level domain designations, such as "upc," "ean," "gpc," and "ibn" respectively. At the time the IP addresses corresponding to a given company code and/or product codes are registered, appropriate procedures may be used to confirm that the applicant for DNS registration has been assigned that particular code in the existing uniform product code system, or has authority to act on behalf of the true assignee of that code. In this way, the existing universal product code registration authority retains primary responsibility for assigning codes, whereas the DNS registrar at most need only confirm the identity of the DNS registrant.

The Internet domain name system that is currently in widespread use is described in RFCs 1034 and 1035. RFC 1034 provides an introduction to the Domain Name System (DNS), and omits many details which can be found in its companion RFC 1035 which is entitled "Domain Names—Implementation and Specification." Recently, The Internet Corporation for Assigned Names and Numbers (ICANN), a non-profit corporation, was formed to take over responsibility for the IP address space allocation, protocol parameter assignment, domain name system management, and root server system management functions currently performed under U.S. Government contract by LANA and other entities. Accordingly, at this writing, ICANN, or a new authority authorized by ICANN, would be the appropriate authority to reserve DNS namespace for the translation of universal product codes (or company codes) to IP addresses as proposed here.

The use of the domain name server system as the mechanism for cross-referencing universal product codes and Internet addresses is used in the illustrative embodiment of the invention which is depicted in FIG. 6 and described next.

#### Using DNS, XML, XSL/CSS and XPointers

FIG. 6 of the drawings illustrates a system which permits computers operated by large numbers of manufacturers and large numbers of online retailers to work together to provide shopping services to customers via the World Wide Web.

FIG. 6 shows a browser 410 being used by an online shopper to view products offered by a retailer which operates an inventory control system computer seen at 422. The inventory control system 422 is conventional and includes one or more conventional point of sale registers as illustrated at 421 through which sales are made to customers who visit the physical "bricks and mortar" store. In addition, however,

the inventory control system is provided with a "web register" which, to the inventory control system appears to function in the same way as a conventional point of sale register but which, in fact, operates through a sales server 430 which provides Internet services on a shared basis to multiple retail stores and their inventory control systems. A communications pathway connects the web register 420 and the inventory control system 422 to the shared sales server 430, with the inventory control system 420 supplying the product codes and corresponding on-hand quantities as indicated at 432 and receiving from the shared sales server order information as indicated at 434.

A product information server 423 supplies product information to the browser 410 in the form of XML data as indicated at 424 in response to requests 425. The browser 410 preferably utilizes the Internet domain name system as proposed above to convert incoming universal product codes into Internet addresses, with the domain name system consisting of an assigned domain name server 470 which receives universal product codes in address requests 472 and returns the registered Internet addresses 474 to the browser 410. When needed, the assigned domain name server 470 obtains the registered cross-references between universal product codes and IP addresses from the primary DNS 476 or from the secondary DNS 478.

The shared sales server 430 sends web pages 438 containing information about products available from a connected retailer to the browser 410, along with XSL (Extensible Stylesheet Language) or CSS (Cascaded Style Sheets) style specifications as seen at 440. The use of XML and XSL or CSS provides several advantages. First, the selection and rendering of the product information is controlled by the links specified in the web page 438 as produced by the sales server. For example, if the web page 438 contains a product listing web page created in response to a search request from the browser 410, each included product description may include a link to only an that portion of an XML product description which contains a brief product description and a thumbnail image of the listed product, whereas, in response to a customer's request for more detailed information, the sales server may return a web page containing an XML "Xpointer" link to detailed product information and/or to an enlarged image of the product. In both cases, the style in which the XML data is rendered by the browser (e.g. typeface, font size and color, background color, etc.) is controlled by the style specification supplied by the sales server 430. In this way, the same XML data may have different visual styles when included on the pages created by different retail vendors.

The XSL (Extensible Stylesheet Language) consists of consists of two parts: (1) a language for transforming XML documents, and an XML vocabulary for specifying formatting semantics. An XSL stylesheet specifies the presentation of a class of XML documents by describing how an instance of the class is transformed into an XML document that uses the formatting vocabulary. XSL is described in the World Wide Web Consortium's "Extensible Stylesheet Language Specification," the current working draft of which may be found at <http://www.w3.org/TR/WD-xsl> (Apr. 21, 1999). An XSL stylesheet processor accepts a document or data in XML and an XSL stylesheet and produces the presentation of that XML source content as intended by the stylesheet. It is contemplated that most major web browser applications will include XSL stylesheet processors which will enable them to convert the combination of XML and XSL data into a form, such as a viewable HTML web page, as specified by the XSL.

In addition to using XSL to specify the rendering style of XML data, cascaded style sheets (CSS) can also be used as set forth in the Proposed Recommendation dated Apr. 28, 1999 from the World Wide Web Consortium (see <http://www.w3.org/TR/1999/xml-stylesheet-19990428>). This specification allows a stylesheet to be associated with an XML document by including one or more processing instructions with a target of "xml-stylesheet" in the document's prolog. The World Wide Web Consortium's recommendation regarding cascaded style sheets may be found at <http://www.w3.org/TR/REC-CSS1> (Jan. 11, 1999) which specifies level 1 of the Cascading Style Sheet mechanism (CSS1). CSS1 is a simple style sheet mechanism that allows authors and readers to attach style (e.g. fonts, colors and spacing) to HTML documents. The CSS1 language is human readable and writeable, and expresses style in common desktop publishing terminology. One of the fundamental features of CSS is that style sheets cascade; authors can attach a preferred style sheet, while the reader may have a personal style sheet to adjust for human or technological handicaps. Thus product descriptions as viewed on the browser may include content from the product manufacturer, reflect a preferred rendering style specification from the online reseller, as well as the personal style preferences of the viewer.

XSL could alternatively be used at the shared sales server 430 to transform XML data fetched by the server 430 from the manufacturer's server 423 and then converted into HTML documents with CSS style sheets at the sales server 430. This has the benefit of being backwards compatible with browsers which do not include the ability to handle XSL/CSS. Alternatively, XSL conversion can be performed on the server 430 to transform XML data into XML documents with CSS style sheets. XML, unlike HTML, comes with no formatting conventions and will always need a style sheet to be displayed. This method requires that the browser have the ability to use CSS to render XML. A third alternative is to use XSL to generate HTML/CSS on the client side, a method which requires that the browser have the ability to directly use CSS and XML, which older browsers cannot do. Finally, the browser may transform XML and XSL into "CSS formatting objects". Compared to the previous method, this method is more direct as the content isn't converted to/from HTML.

The ability to select only a portion of an XML product description document for reproduction on a web page is provided by the Xpointer protocol. As explained in the World Wide Web Consortium Working Draft of Mar. 3, 1998, at <http://www.w3.org/TR/WD-xptr>, the XML Pointer Language (Xpointer) document specifies a language that supports addressing into the internal structures of XML documents. In particular, it provides for specific reference to elements, character strings, and other parts of XML documents, whether or not they bear an explicit ID attribute. Using Xpointer, only selected portions of an XML product description made available from the manufacturer's server need be presented on a given web page, enabling the creator of the web page which links in XML data to control the nature and extent of the information shown.

The manner in which explicit relationships between two or more data objects, such as a retailer's product list page and the product information about a product listed on that page, may be expressed as a link asserted in elements contained in XML documents. These "XLinks" in the simplest case are like the HTML links described above in that they are expressed at one end of the link only, are initiated by users to initiate travel to the other end of the link, go only

to one destination (which may be determined by a DNS server or by an independent cross-referencing server), and produce an effect which is mainly determined by the browser. The functionality of links is being vastly extended, however, by the XML Linking Language (XLink) specification being developed by the World Wide Web Consortium and available at <http://www.w3.org/TR/WD-xlink>. As extended, the XLink specification will provide more sophisticated multi-ended and typed links which can be used to advantage to automatically incorporate linked-in product information from one or more manufacturers into displays and multimedia presentations presented by retailers and others.

As previously discussed, in addition to the use of a product code translation utility which cross-references all or part of a universal product code into an Internet address, it is desirable to establish a protocol or convention which enables a requester to specific kinds of information about identified products or companies. In a conventional HTML system, this can be done by establishing naming conventions, as described above, for selectively locating different kinds of information about a product designated by a given universal product code, as well as different kinds of information about the company identified by the company code portion of the product code.

The metadata capabilities of XML can be used to advantage to provide an extensible system for dividing product and company information into a hierarchy of nested named elements which can be selectively accessed. Using the Document Type Descriptor (DTD) component of XML, the makeup of the required and optional components of such information can be defined in a standard way, facilitating the definition and validation of data structures to be used on various classes of products.

The World Wide Web Consortium has further defined the "Resource Description Framework (RDF) and Syntax Specification" as described at <http://www.w3.org/TR/REC-rdf-syntax>. RDF provides a foundation for processing meta-data (i.e. "data about data") and provides interoperability between computers that exchange information on the Web. Using RDF, data about products and companies, which can be accessed in accordance with the invention by using universal product codes; can be used by search engines to provide access to such information, can be used to automatically catalog the content and content relationships at particular web sites, pages or libraries; can be used by intelligent software agents to facilitate the sharing and exchange of information about companies and products. Using RDF with digital signatures, the privacy preferences and policies of the owners of product and company information can be selectively protected to help build the "Web of Trust" needed for electronic commerce.

Importantly, RDF provides a mechanism for defining metadata in a class system much like the class systems used by object oriented programming and modeling systems. Classes are organized in a hierarchy, with a collection of classes used for a particular purpose (such as the collection of classes describing a "product" and/or the collection of classes describing a "company") being called a "schema." RDF thus offers extensibility through subclass definition. For example, creating subclasses for a particular kinds of product (e.g., publications, software, foods, clothing, etc.) requires only incremental modification of a base "product" schema, and each such subclass may then be further modified to form descendant schema for even more particular kinds of product (e.g., magazines, video games, cereals, shirts, etc.). The shareability and extensibility of RDF also

allows metadata authors to use multiple inheritance to mix definitions, providing multiple views of their data, and leveraging the work done by others. From a practical standpoint, the creation of a simple and generic product and company description base schemas which can thereafter be extending using RDF allows basic information about products and companies to be made available early, allowing more elaborate schemas to evolve as experience with the simpler system suggests their utility.

Using these techniques, the product manufacturer may be largely freed from concerns about web page design, formatting and integration with other information, and may concentrate on providing accurate and up-to-date text descriptions of its products, along with whatever images best describe the product, simply by registering the relationship between the manufacturers company code and/or universal product code(s) with the appropriate authority, and following the established content specifications for the information which the manufacturer makes available at the registered IP address.

It should be noted that XML, XSL, Xpointer, XLink and related Internet protocols and specifications are still being defined and extended, a process that can be expected to enhance the ability of the present invention to selectively and attractively provide information about products to those who desire that information from the most knowledgeable and reliable sources of that information.

#### The Shared Sales Server and the "Web Register"

Conventional retail stores display merchandise for purchase in physical showrooms for inspection and purchase by consumers. These stores typically obtain the products they sell from a large number of manufacturers, either directly or through distributors. Computerized inventory control systems are used to keep track of orders and sales in an effort to insure that goods are available for prompt delivery to customers when purchased while minimizing the expense of the maintaining an unsold inventory of products. Barcode checkout and EDI (Electronic Data Interchange) systems are commonly used in combination with inventory control systems to automate sales transactions. Customer checkout counters equipped with barcode readers automate the customer sales transaction, reducing errors and reducing costs. EDI, the computer-to-computer exchange of business documents in a standard format, automates transactions between the retailer and its suppliers by transferring sales documentation in electronic form, including the purchase order, the invoice, and the advance ship notice. Barcode readers and EDI services are now commonly used even by smaller retailers and have significantly reduced the cost of doing business.

In recent years, the Internet has produced new on-line sales mechanisms which promise to revolutionize retail sales. Using the World Wide Web, both manufacturers and resellers offer products to consumers for direct purchase with product delivery normally being accomplished by mail or a commercial delivery service. The consumer typically employs a web browser to search for products of interest and display product descriptions. Customers make purchases by completing one or more displayed forms to provide needed information (e.g. a credit card number and a shipping destination address).

Many successful web resellers take advantage of the fact that a web presence is inherently world-wide, and that the delivery charges of many services are largely independent of distance. Because a physical showroom is an unnecessary



expense, web resellers can often successfully sell at much lower cost directly from one or more warehouses. To be successful, however, such a web reseller must make its presence known to a large audience. As a result, large and well-funded web resellers capable of effectively marketing on a nationwide scale have done well while those which have attempted to market their offerings locally or not at all have predictably been much less successful.

Large chain resellers with many retail outlets have also established successful web sales operations. Because the cost of creating and maintaining a web presence, as well as the cost of nationwide marketing, can effectively be shared among many retail outlets, and because much of the infrastructure needed to provide order fulfillment is already in place, such chain stores compete well with the nationwide web-only merchants.

Although customers would often benefit from the convenience of reviewing and ordering products from a local reseller, individual retail stores and smaller, local chains have typically been unable to justify the cost of creating and maintaining a web sales system. In addition to the substantial cost of installing and maintaining the needed hardware and software, the website owner must expend significant effort to create and periodically update the product descriptions which customers require in order to make informed purchases. The annual cost of operating a merchant website often far exceeds the revenue which the local merchant would derive from the limited probable volume of online sales.

The infrastructure depicted in FIG. 6 permits smaller and mid-sized merchants to profitably and efficiently offer online ordering services to their customers. By using the cross-referencing capability provided by the present invention, which provides customers with reliable product information direct from the manufacturer, and the shared sales server 430 and web register 420 which provides shareable functions which effectively connect the retailer's existing inventory control system 422 to the World Wide Web, the cost and complexity of retail web sales is largely eliminated, and the consumer obtains the convenience of shopping by computer with the other advantages of shopping with a local merchant.

The shared sales server 430 operates in the same way and provides the same functions as a conventional online merchant server: it enables customers to perform searches of available products and produces listings which the customer can review, with the ability to click on individual items to activate links to additional product information from the manufacturer's server as noted earlier. In addition, the shared server provides "shopping basket" and credit card transaction services to enable the customer to complete purchases.

The shared server 430 and the web register module 420 added to the retailer's existing inventory control system 322 maintain a connection via the Internet or a dial-up modem pathway which permits the inventory control system 422 to upload to the shared server 430 changes to the products (specified by universal product code) being offered for sale, and the quantity on hand. Each time any sale is made by any point of sale register 421 in the physical retail store or by the web register 422, the quantity on hand value associated with the sold product's code is altered. Similarly, when stock is replenished, the inventory control system 422 reflects the increased quantity on hand. The quantity on hand information passed as message information at 422 permits the shared sales server to maintain a database for each retailer served which indicates the products available for sale and

the quantity on hand. When the quantity on hand equals or exceeds the quantity ordered, the on line order is accepted and passed at 434 from the shared server to the web register module 420 which posts the sale in the same way that a point of sale register posts a sale. The fact that the web register 420 performs the same functions as a conventional cash register enables the conventional inventory control system 422 to function in the normal way, with the exception that it must also update the product code and quantity on hand data maintained by the shared server. The fact that the shared server thus "knows" the inventory status allows the shared server to accurately inform the customer when shipment can be expected for goods on hand and when goods which must be replenished will be shipped with a delay. Orders sent to the inventory control system at 434 include the specification of products sold (by their universal product code designation) and the quantities of each sold, as well as address information for billing and shipping. Credit card transactions are handled on a shared basis using standard ecommerce software, either by sending encrypted credit card and other billing information to the retailer for handling, or actually performing the monetary transaction with the customer in its entirety on the shared server, and sending periodic payments and accounting records to the retailer.

Importantly, functions better performed by the retail store using resources best shared with the physical store's operations are not performed by the shared server 430 but rather by the store's inventory control system 422. Such functions include order fulfillment, inventory pricing, vendor ordering, reordering and payment, and warehouse management functions.

Examples of merchant server software which may be used to implement the shopping basket and credit card transactions performed by the shared server 403 include the SoftCart system offered by Mercantec, Inc. SoftCart 4.0 includes integration to the CyberCash system for credit card transactions and includes a Software Developer's Kit to enable a programmer to integrating SoftCart with WWW programs and legacy systems. A discussion of available systems and techniques is found in *Designing Systems for Internet Commerce* By G. Winfield Treese and Lawrence C. Stewart ISBN: 0201571676 (Addison Wesley 1998).

In accordance with the invention, neither the shared server 430 nor the retail inventory control system 420 need store or maintain descriptive information about products. When an online customer desires information about a given product, it is obtained for review by the customer using XML Xpointer links to the manufacturer's server. XML information is located by supplying the universal product code for the product to the DNS server 472 which stores product code to Internet address conversion information. Product searches which locate products offered by a given retailer (as revealed by the product code and quantity on hand database) are displayed to the customer and, to the extent they result in purchases, are manifested by the transmission of a completed order to the retailer's inventory control system.

In a typical shopping transaction performed on the system shown in FIG. 6, a shopper employs the browser 410 to visit a web site which executes on the shared sales server 430. When the shopper reviews an HTML web page which lists available products, as illustrated at 438 in FIG. 6, the shopper can request additional information on any listed product by using the browser 410 to click on a link anchor on the page 438, thereby issuing a request 472 to the domain name server 470 for the IP address at which additional information on that product identified by a universal

product code which forms part of the URL contained within the request 472. The DNS 470, consulting a primary DNS 476 or secondary DNS 478 if necessary, returns the IP address to the browser 410 which then issues a request 480 for XML product information to the product information server 422 maintained by the manufacturer of that product. Using the XSL stylesheet specification 440 supplied by the shared server 430 (in accordance with the background colors, font styles, etc. which may be characteristic for the web presence of the specific retailer), the browser presents the product description contained or specified by the XML data 424 to the user.

If the user decides to purchase the described product, the "shopping basket" functions of the shared server 430 are used to complete the order. Because the shared server 430 maintains a database for that retailer containing the quantity on hand values for each product offered by that server, the customer can be immediately informed if the shipment cannot be made whereas, if the product is available at the retailer's store or warehouse, the online customer's order can be confirmed for prompt delivery. When the order is completed by the shared server 430, the order 434 which includes the identification of the customer (name, shipping address, etc.) and the identification of the products sold (universal product codes plus quantities sold) is transmitted to the retailer's inventory control system 420. As explained in more detail below in connection with FIG. 7, the shared server 430 adjusts the quantity on hand values in its database, and the inventory control system 420 updates its database, with a cross check between the two being made if desired to insure consistency and synchronization.

It is important to observe that the arrangement shown in FIG. 6 isolates the retailer from substantially all of the concerns normally associated with the creation and maintenance of an online sales website. In accordance with the invention, the retailer need not be concerned with the creation or maintenance of accurate information on the products sold, since that task is appropriately born by the product manufacturer which has that information. Similarly, the retailer need not be concerned with the creation, hosting, and maintenance of a reliable and easy to use online shopping experience, a task performed by the shared server 430 for many different retailers. To the retailer, the shared server presents an interface to the inventory control system 420 which behaves much like conventional point-of-sale terminals (cash registers) indicated at 421.

This "web register" capability can advantageously be installed and maintained, with training being provided to the retailer, by inventory control system vendors as part of the normal inventory control system software, maintenance and training. The shared server functions can advantageously be made available by an independent Internet service provider (ISP) using standard e-commerce software, and the independent vendor of the shared sales server software can provide interface specifications and software support to the inventory control system vendors, enabling them to add the necessary "web register" interface functions to new or existing inventory control systems. In this way, each function is performed by an entity which is already experienced in that phase of the overall system requirements, and each function is provided at little additional cost over costs already born by each contributor.

The relationship between the shared sales server seen at 403 in FIG. 6 and the retailer's inventory control system seen at 420 in FIG. 6 is shown in more detail in FIG. 7 of the drawings. As discussed above, the remote shared sales server operates, from the standpoint of the inventory control

system, much in the same way as a conventional point of sale terminal. The other components of the inventory control system include essentially conventional purchasing, receiving, order processing, shipping and invoicing functions described in the literature. See, for example, the texts *Best Practice in Inventory Management*, by Tony Wild, John Wiley & Sons; ISBN: 0471253413 (March 1998) and *Inventory Control and Management* by C. D. J. Waters, John Wiley & Sons; ISBN: 0471930814 (June 1992).

Within the inventory control system, the purchasing module 501 presents printed reports and screen displays which assist purchasing agents to see which products need to be ordered and which vendors need to be contacted to follow up on prior orders, and automates back orders and reorders. The module 502 generates purchase orders, and alerts purchasing agents of urgent or routine product ordering needs by evaluating supplies on hand and estimating the demands for each product to determine if supply levels will fall below the predetermined minimum stock quantities established for each product by the merchant. The purchasing module 501 further creates planned orders based upon a minimum order quantity, order multiple, yield percentage and maximum plan quantities established by the merchant, and alters the purchaser of low product levels automatically. Purchase Orders are generated using screens presented to the purchasing agent which can recall vendor price lists, including price breaks and special promotion pricing. These purchasing functions are typically performed in a bricks-and-mortar retail store or group of stores, and need not be altered to support online sales as contemplated by the present invention.

Similarly, the receiving module 502 accepts inventory control information by capturing data describing incoming orders from vendors, issues inbound receipts and purchase order confirmations, and tracks back orders. Inbound receipts are generated from existing Purchase Orders.

The order fulfillment unit 503 accepts both conventional sales orders of the type received by mail or telephone, and processes online orders from the shared server (seen at 430 in FIG. 6) in the same way. The unit 503 maintains the visibility of those orders until shipment is completed by identifying orders that need attention, including orders that must be rescheduled or expedited, to insure prompt delivery and to provide order status information to the customer. Customer contact information (phone number, email address or mailing address) from the online shared sales server 430, like similar information obtained by telephone or mail, may be used to automatically issue email notifications or assist the merchant in contacting the customer in other ways to confirm or reschedule orders.

The shipping module 504 is also conventional, and handles outbound shipments, accepts new customer shipping and billing address information, handles partial shipments by identifying items reserved for later shipment, and prints packing slips and bills of lading.

The invoicing module 507 provides invoicing, billing and charging capabilities, printing invoices to be sent to customers. The shared sales server may support billing in several ways: it may simply send orders to the invoicing module 507 including shipping information supplied by the customer using HTML forms; it may verify and accept credit card information and transmit that to the invoicing module 507 so that the actual credit card transaction is between the customer and the retailer, or it may complete the credit card transaction at the shared server, forwarding collected funds together with accounting information to the retailer on a periodic basis.



It is a principle feature of this aspect of the invention that the retail merchant, who already maintains a physical inventory and/or a distribution relationship with manufacturers, as well as an inventory control system for managing its inventory and distribution functions, performs the order fulfillment function using facilities which are shared with those used by conventional "showroom" sales facility. The shared sales server merely processes data, and need not be concerned with the actual selection, purchase or distribution of physical products, nor with the creation of the detailed product information needed by the consumer when making online purchases. Both of these functions remain where they are best performed, with the retailer and the manufacturer respectively.

When sales are performed using conventional point of sale terminals as indicated at **508**, a sales module **510** processes the information. The point of sale terminals **508** perform automated sales checkout using a bar code reader to reduce errors and speed customer checkout times, enabling salespeople to focus more on customer service. Sales are manifested by the identification of goods and quantities purchased, and these are reflected in the actual delivery of goods at the point of sale terminal which, in turn, are posted to decrement the on-hand quantity values for the products maintained in the inventory control database **500**. If selected products are unavailable at the point of sale, a terminal **508** may commonly be used to place an order for future delivery to an identified customer by posting the order into the database **500** for handling by the purchasing module **501**, receiving module **502**, order fulfillment module **503**, shipping module **504** and invoicing module **507**.

Incoming orders from the shared sales server placed on a "web register" are processed in substantially the same way. The order information is obtained from the customer, typically using HTML forms, by the web sales unit seen at **521** in FIG. 7, and the resulting order in the form of customer information, product identification and quantity sold, and related order information is transmitted via the communications interfaces **531** and **532** at the sales server and the remote inventory control system respectively. The communication link **544** advantageously takes the form of an Internet link between the retailer and the remotely located sales server which operates on a transaction by transaction basis. Note that, because both product identification data and on-hand quantity information is available in the database **540** at the shared server, the two can continue to work without continuous connection, with orders taken at the "web register" being transmitted on a batch basis, and with changes to the database of offered product universal product codes and on-hand quantities being posted on a batch basis from the inventory control system to the shared server.

The sales module **510** processes orders from both the point of sale terminals **508** and from the shared sales server. It packages the customer information and the product and quantity sold information for handling by the remaining modules as discussed above, and schedules multiple deliveries as needed when an order can only be partially fulfilled. When web sales are made, on hand quantities are immediately reserved and, if desired, sales of the same products from inventory via the point of sales terminals **508** can be inhibited (although, in practice, if removal of items from the showroom is needed to fill web orders, that should be done promptly to avoid customer confusion).

The relationship between retail stores, manufacturers and distributors, product information services and consumers, and the computers connected to the Internet which utilize the invention to serve each of these entities, is depicted in FIG. 8 of the drawings.

Consumers and the general public access information and perform transactions via the Internet using conventional web browsers (i.e. conventional web browser application programs executing on desktop computers or workstations) as exemplified at **803**, **804** and **805**. Such web browsers typically employ a shared Internet Service Provider (ISP) as indicated at **807** which provides a connection to the Internet **810**.

These consumers may view product information and perform sales transactions as contemplated by the present invention by viewing information made available by retail stores **811**, **812**, **813** and **814** via a shared sales server **820**, as well as by a server **825** operated by a single retail store (or chain of stores). The servers **820** and **825** transmit web pages to the web browsers **803-805** which include links to product information which is made available by manufacturers **831**, **832** and **833** via a shared product information server **840**, and from a server **845** operated by a single manufacturer.

The manufacturers preferably provide product information to their connected server in the form of well-formed eXtensible Markup Language (XML) documents which may be validated against a standard Document Type Definition (DTD) to which all such product information documents should conform. The schema to which such documents adhere may be advantageously expressed in the Resource Description Framework (RDF) and Syntax Specification, as noted earlier, to facilitate the evolution of standardized content definitions for product and company information. The shared product information server illustrated at **840**, in its simplest form, does nothing more than make Internet accessible data storage space available where smaller manufacturers without their own servers can make product and company information available via the Internet. Even the smallest manufacturer can thus make product and company information available to consumers and retailers worldwide at an insignificant incremental cost. Many such small manufacturers can simply use web hosting space provided free of charge by their existing Internet Service Provider for product and company information storage.

Manufacturers are not, of course, restricted to providing such product information through links from web sites of retailers and others. A manufacturer may use the same information to support its own promotional web site offering such things as product directories, press releases, direct sales to consumers, and any other function and service typically provided by a manufacturer's web site. Indeed, by using a predetermined URL syntax, such as <http://cocode.ean/homepage> (where "cocode" is the company code assigned to that manufacturer), the home page of the manufacturer of any product can be readily accessed if that product's universal product code is known.

The availability of company information, which may be accessed using the company code portion of a universal product code, also makes it possible for retailers to readily obtain specific information needed to purchase products directly from manufacturers, establish accounts, identify distributors, and the like. The company information which is made available as contemplated by the invention may be used to automatically establish EDI connections and perform EDI transactions between the servers operated on behalf of retail stores and those operated on behalf of manufacturers.

As illustrated at **875** in FIG. 8, the cross-referencing server can be used to by an indexing service to provide searchable product and company information indices and

search capabilities. As noted earlier, cross-referencing utility seen at 880 in FIG. 8 may advantageously provide means for accessing the entire contents of its cross-referencing information to a requesting computer, such as a search engine operated by the index service seen at which can then perform conventional "web crawler" indexing of the websites specified by the listed URLs and/or IP addresses, thereby generating complete or partial indexes to all or less than all of the products whose product description locations have been registered. Alternatively, web crawling engines can traverse the links to manufacturers' information found in product listing pages made available by retailers and others.

By storing product and company information in accordance with predetermined schemas, preferably using XML, DTD and RDF techniques as discussed above, indexing services can provide consumers with powerful tools for locating products having selected attributes and for sorting and comparing product based on those attributes. In this way, a consumer can more readily identify particular products which best suit her needs, can view detailed, accurate and up-to-date promotional and specification information on each product directly from the manufacturer, and can then identify the most desirable retail sources for selected products based on price, geography or other criteria. In this way, "bricks and mortar" stores can make their entire existing inventory available for inspection by simply adding a "web register" module to connect their existing bar code checkout and inventory control system with a shared sales server, providing their local customers to "shop at home," make purchases on line, and either pick up or arrange for local delivery of the goods purchased.

It should be understood that the methods and apparatus described above are merely illustrative applications of the principles of the present invention. Numerous modifications may be made by those skilled in the art without departing from the true spirit and scope of the invention.

What is claimed is:

1. The method for disseminating product information via the Internet which comprises, in combination, the steps of:
  - establishing a cross-referencing resource connected to the Internet which includes a database containing a plurality of cross-references, each of said cross-references specifying the correspondence between a group of one or more universal product code values and the Internet address of a source of information which describes the products designated by said group of one or more code values,
  - transmitting via the Internet a Web page containing at least one hyperlink including a reference to separately stored information, said reference including a particular universal product code value that uniquely designates a selected product,
  - employing a Web browser program to receive said Web page and display said Web page to a user,
  - further employing said Web browser to respond to the activation of said hyperlink by said user by transmitting a first request message to said cross-referencing resource, said first request message containing at least a portion of said particular universal product code value,
  - processing said first request message at said cross-referencing resource by referring to said database to identify the particular Internet address which corresponds to said particular universal product code value and returning a redirection message to said Web browser which contains said particular Internet address;

employing said Web browser to automatically respond to said redirection message by transmitting a second request message to said particular Internet address;

employing a Web server connected to the Internet at said particular Internet address to respond to said second request message by returning product information describing said selected product to said Web browser, and

employing said Web browser program to automatically display said product information from said Web server to said user.

2. The method set forth in claim 1 wherein said portion is the company code portion of a said particular universal product code and wherein said particular Internet address specifies the location of an Internet resource operated on behalf of the manufacturer specified by said company code portion.

3. The method set forth in claim 1 wherein said information describing the product designated by said particular universal product code is expressed in eXtensible Markup Language.

4. The method set forth in claim 2 wherein said information describing the product designated by said particular universal product code is expressed in eXtensible Markup Language.

5. Apparatus for delivering product information via the Internet which comprises, in combination:

- a first computer connected to the Internet for producing a web page containing at least one hypertext link to product information, said hypertext link containing a reference which includes at least a portion of a particular universal product code which designates a particular product,
- a second computer connected to the Internet for maintaining a cross-referencing database storing information and operating as a cross-referencing resource which associates universal product codes and corresponding Internet addresses identifying remote resources from which data may be retrieved which describes the products identified by said universal product codes, said second computer including means for responding to a request message containing at least a portion of a given universal product code by transmitting a response message specifying the corresponding Internet address from which information describing the product designated by said universal product code may be retrieved and to which said request message should be redirected, and
- a third computer connected to the Internet for executing a web browser program, said web browser program comprising
  - means for retrieving said web page from said first computer,
  - means for identifying said hypertext link in said web page and transmitting a first request message to said cross-referencing resource, said request message containing at least a portion of said particular universal product code to obtain from said second computer a response message specifying a particular universal product code to which said first request message should be redirected, and
  - means automatically responsive to the receipt of said response message for transmitting a second request message to said to said particular Internet address to retrieve product information describing the product designated by said particular universal product code, and

## 35

means for receiving and displaying said product information returned to said third computer in response to said second request message.

6. The apparatus set forth in claim 5 wherein said response message takes the form of a hypertext transport protocol response message which includes a location header field containing a destination URL specifying said particular Internet address whereby said request message is redirected to said particular destination URL.

7. The apparatus set forth in claim 5 wherein said database stores a company code portion of a said universal product code and wherein said corresponding Internet address specifies the location of an Internet resource operated on behalf of the entity specified by said company code portion.

8. The apparatus set forth in claim 5 wherein said cross-referencing resource is the Internet Domain Name Service.

9. The apparatus set forth in claim 8 wherein said product information is expressed in extensible markup language.

10. The apparatus set forth in claim 9 wherein said web browser displays said product information in accordance with stylesheet information specified on said web page.

11. The apparatus set forth in claim 10 wherein said product information satisfies the validity requirements con-

## 36

tained in a predetermined standard XML Document Type Definition for product information.

12. The apparatus set forth in claim 10 wherein said product information conforms to a schema expressed in accordance with the Resource Description Framework (RDF) and Syntax Specification.

13. The apparatus as set forth in claim 5 wherein said reference in said web page is contained in a hypertext link anchored by a visual element which is retrieved from said second computer by said web browser program when said web page is displayed, said visual element having content which is dependent upon the nature of the information stored in said database relating to said particular universal product code.

14. The apparatus as set forth in claim 13 wherein said visual element is an image file transmitted to said web browser from said second computer in response to an image request message containing at least a portion of said particular universal product code.

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